

## Torque ripple minimization in direct torque control at low-speed operation using alternate switching technique

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### ABSTRACT

Direct torque control (DTC) of induction motor is prominent to offer instant torque and flux control with a simple control structure. However, this scheme suffers from two major drawbacks namely high torque ripple and variable switching frequency of the inverter, especially during low-speed operation. During the low-speed condition, the positive torque slope is very steep and torque overshoot frequently occurs, resulting in the torque ripple becoming of great significance. This paper proposes a novel and effective technique to reduce the torque ripple by integrating the alternate switching technique to the inverter switching status to limit the torque slope surge. By varying the frequency and duty cycle of the alternate switching, the surge rate can be controlled resulting in the chances of overshoots, and selection of reverse voltage vector can be avoided. The feasibility of the proposed technique has been validated using MATLAB/Simulink software and through experimental results. The results show the proposed alternate switching technique minimizes over 40% reduction in the torque ripple while maintaining the simple structure of DTC.

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## 1. INTRODUCTION

Direct torque control (DTC) was developed over a decade ago to provide quick and accurate dynamic torque response and flux control with a simple construction [1]. This method is well-known for its control robustness [2]–[14]. It relies less on machine parameters [15], [16] and does not require the complicated speed encoder, inner current regulation loop and field orientation block [17]. However, this system addressed two main problems namely high torque ripple and the variation of the switching frequency of the inverter according to operating conditions [18]–[27].

Many methods have been proposed in recent years with various approaches to tackle these issues. For example, the implementation of the direct torque control space vector modulation (DTC-SVM) technique [28]–[54]. This technique ensures that the inverter switching frequency remains constant and combines with the benefits of the DTC method to improve the torque ripple. However, calculation of reference voltage space vectors is required and complex to solve. Another technique namely adjustable hysteresis band presented in [47], [55], [56], enables the reduction of the hysteresis band size resulting in accurate torque regulation,

particularly when the pre-set sampling time is small. However, in practice, dSPACE-based DTC operates at a minimum sample rate of 50µs, which may cause the torque to travel between the upper and lower band vigorously, resulting in overshoot and undershoot. In addition, the system will often select the reverse voltage vector during overshoot and undershoot, which may cause the system to produce the common DTC problem, namely variable switching frequency [57]–[59]. Integrating the DTC with predictive control has lately received much attention due to its capacity to minimise torque ripple and operate at a constant switching frequency [60]–[62]. However, this system demands a correct selection of weighting factors as incorrect weighting factors result in a greater torque and flux ripple. Several studies covered in [63]–[66] mainly use the duty cycle control concept employing an active voltage vector for a portion of a control period, which then switches to a zero-voltage vector for the remainder of the control cycle.

This paper proposes a novel technique to minimize the torque ripple by integrating the alternate digital pulsation into the inverter switching status of DTC. This technique is a simple and effective method as it improves the torque rate to travel within the hysteresis band precisely, thus avoiding the need for reverse voltage vectors. Theoretically, the rate of torque slope can be controlled by adjusting the DC link voltage manually. However, it is not practical for real DTC applications such as electric vehicle (EV) where the real EV uses supercapacitor or batteries as its main supply. The proposed technique practically limits the DC link voltage by using alternate digital pulsation in the form of duty cycle. This study is verified through simulation (MATLAB/Simulink) as well as experimental setup using dSPACE 1104 board and compared with conventional DTC scheme. The proposed structure of DTC is briefly described in section 2 of the paper. Section 3 demonstrates the operation of the torque under the proposed scheme. Section 4 presents simulation and experimental findings for the conventional and proposed techniques, respectively. Finally, section 5 gives the conclusions to the paper.

## 2. THE PROPOSED DTC BASED ON AN ALTERNATE SWITCHING TECHNIQUE

The conventional DTC proposed [1] is straightforward. It combines of subsystems comprised of a pair of hysteresis comparators, torque and flux calculators, a look-up table, and a voltage-source inverter (VSI). In the proposed DTC system, each of the subsystems are described in terms of space vectors by the following equations written in stator stationary reference frame:

$$v_s = r_s i_s + \frac{d\Psi_s}{dt} \quad (1)$$

$$0 = r_r i_r - j\omega_r \Psi_r + \frac{d\Psi_r}{dt} \quad (2)$$

$$\Psi_s = L_s i_s + L_m i_r \quad (3)$$

$$\Psi_r = L_r i_r + L_m i_s \quad (4)$$

$$T_e = \frac{3}{2} p |\Psi_s| |i_s| \sin\delta \quad (5)$$

where  $p$  denotes the number of pole pairs,  $\omega_r$  denotes the rotor electric angular speed in rad/s,  $L_s$ ,  $L_r$  and  $L_m$  denote the motor inductances, and  $\delta$  is the angle between the stator flux linkage and the stator current space vectors. Based on (1), the  $d^s$  – and  $q^s$  – axis stator flux in a stationary reference frame may be expressed as:

$$\Psi_{s,d}^s = \int (v_{s,d}^s - i_{s,d}^s r_s) dt \quad (6a)$$

$$\Psi_{s,q}^s = \int (v_{s,q}^s - i_{s,q}^s r_s) dt \quad (6b)$$

The scope for this study will use the standard two-level inverter. Therefore the (6a) and (6b) can be further expressed in term of switching states  $S_a, S_b$  and  $S_c$ .

$$v_{s,d}^s = \frac{1}{3} V_{dc} (2S_a - S_b - S_c) \quad (7a)$$

$$v_{s,q}^s = \frac{1}{\sqrt{3}} V_{dc} (S_b - S_c) \quad (7b)$$

The electromagnetic torque given in (5) can be rewritten in  $d^s$  – and  $q^s$  – coordinates as:

$$T_e = \frac{3}{2}p(\Psi_{s,d}^s i_{s,q}^s - \Psi_{s,q}^s i_{s,d}^s) \tag{8}$$

The (1) to (8) are modelled as in Figure 1 with an additional proposed alternate switching strategy. The proposed technique is in the form of a duty cycle will be added to the inverter switching state from the look-up table. By default, the conventional DTC system working principle is based on the output stator voltage applied based on the selection of the switching states  $S_a, S_b$  and  $S_c$  obtained from the look-up table. These switching states are chosen based on the need to increase or reduce the torque and stator flux, as well as the stator flux position.

The alternate switching technique will not affect the nature of the DTC concept; instead, it will control the rate of torque to travel inside the hysteresis band. As shown in Figure 2, the suggested method utilized the precise signal from the inverter switching state to integrate with square wave pulsation using an AND logic gate. The newly generated signals are defined as  $\Delta S_a, \Delta S_b$  and  $\Delta S_c$  while the timing diagram for each signal is shown in Figure 3. The new signals are produced in the same sequence as the original DTC switching status, but with a different switching pattern.

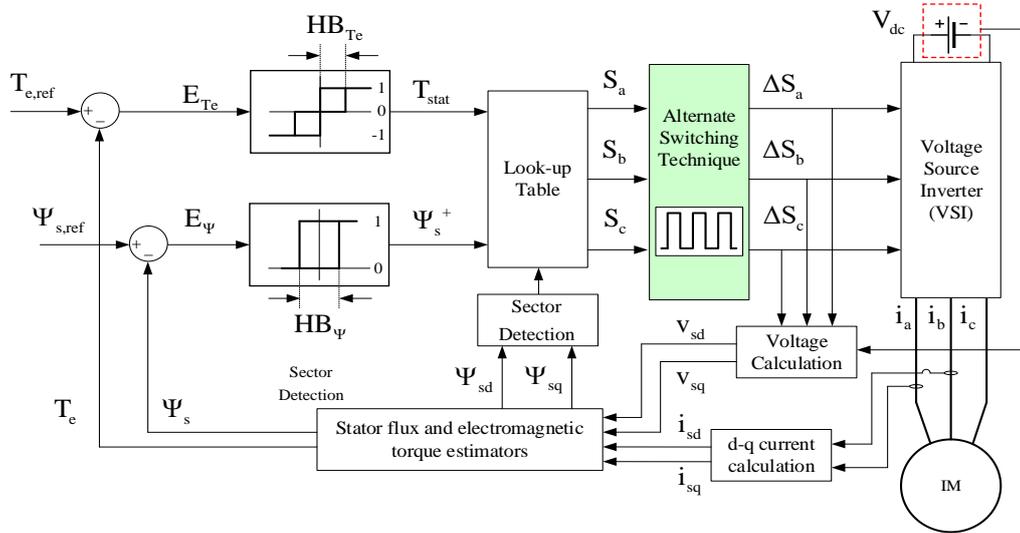


Figure 1. Proposed system with alternate switching technique

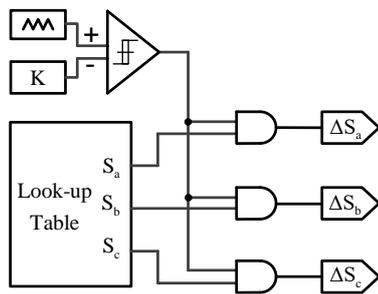


Figure 2. Proposed alternate switching technique

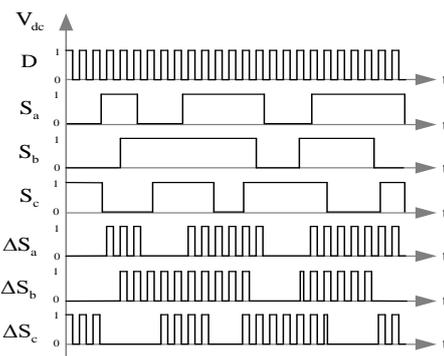


Figure 3. Alternated DTC switching signals

### 3. OPERATION OF TORQUE USING ALTERNATE SWITCHING TECHNIQUE

Whenever the induction motor is operated at a low speed, the low back electromotive force (EMF) may cause higher torque increment rates, resulting in an extremely steep torque slope to the point that torque excursion can be driven far beyond the hysteresis band. This situation generates a significant torque ripple, which must be corrected, particularly at low speeds [67]–[69]. Using the alternate switching technique injects the active voltage vector in the sequencing/alternate scheme, improving the performance for both torque and stator flux as it simultaneously limits the torque excursion within the hysteresis band. Furthermore, this allows

the stator flux to move in the better zig-zag pattern, thus avoiding selecting a reserve voltage vector. To analyse the effect of voltage vectors on the torque ripple in DTC-hysteresis-based drives, the torque equation will be written in terms of stator and rotor flux magnitudes as (9).

$$T_e = \frac{3}{2} p \frac{L_m}{\sigma L_s L_r} \Psi_s \Psi_r \sin \delta_{sr} \tag{9}$$

where  $\sigma$  is the total leakage factor, while  $\delta_{sr}$  is the angle between the stator and rotor flux vectors. This angle difference is critical in regulating the output torque.

Following from here, in (9) can be rewritten by considering sampling time for every cycle,  $T_{sp}$  given as:

$$\Psi_{s(k+1)} = \Psi_{s(k)} + \left[ -\frac{R_s}{\sigma L_s} \Psi_{s(k)} + \frac{R_s L_m}{\sigma L_s L_r} \Psi_{r(k)} + v_s \right] T_{sp} \tag{10}$$

$$\Psi_{r(k+1)} = \Psi_{r(k)} + \left[ \frac{R_r L_m}{\sigma L_s L_r} \Psi_{s(k)} + \pi \left( j\omega_r - \frac{R_s}{\sigma L_s} \right) \Psi_{r(k)} \right] T_{sp} \tag{11}$$

By substituting (10) and (11) into discrete form of (9), torque slopes during increment and decrement can be expressed as:

$$T_e^+ = -T_{e(k)} \left( \frac{R_s}{\sigma L_s} + \frac{R_r}{\sigma L_r} \right) + \frac{3}{2} \frac{p}{2} \frac{L_m}{\sigma L_s L_r} i [(v_s \bullet \Psi_r) - j\omega_r (\Psi_s \bullet \Psi_r)] \tag{12}$$

$$T_e^- = -T_{e(k)} \left( \frac{R_s}{\sigma L_s} + \frac{R_r}{\sigma L_r} \right) - \frac{3}{2} \frac{p}{2} \frac{L_m}{\sigma L_s L_r} i [j\omega_r (\Psi_s \bullet j\Psi_r)] \tag{13}$$

Moreover, the percentage of torque ripple can be calculated as follows:

$$\% \text{ of torque ripple} = \frac{\Delta \text{ torque ripple}}{\text{average torque}} \times 100\% \tag{14}$$

Figure 4 shows the torque slope condition, and the Figure 4 (a) illustrated the conventional DTC torque increment,  $T_e^+$  and torque decrement,  $T_e^-$  within one complete cycle of sampling time,  $T_{sp}$ . In each sampling time, the torque slope varies according to the duration of varies according to the duration of  $T_s$  of injected voltage vector,  $v_s$ . Figure 4 (b) shows how the proposed alternate switching technique allows the active voltage vector to be in the form of an alternating sequence which it can limit the torque excursion rate. The alternate switching technique makes the value of  $T_s$  become way smaller, thus changing the state between positive torque slope and negative torque slope in a short time due to the alternating active voltage vector. The alternate switching technique uses the square waveform with a 50% duty ratio for ON-state and OFF-state respectively. For this study, the switching frequency used for the alternate switching is 2.5 kHz resulting in the rise and fall in the period of 200  $\mu$ s during ON-state and OFF-state respectively. Using this concept allows limiting the torque excursion within the torque reference,  $T_e^*$ .

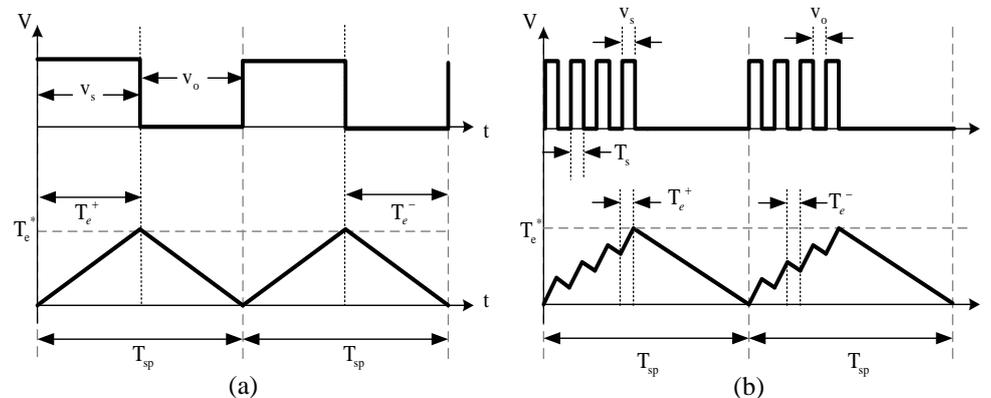


Figure 4. Torque slope condition (a) conventional DTC (b) proposed alternate DTC

The complete comparison of the conventional DTC to the proposed DTC demonstrated in Figure 5. In the conventional DTC, the active voltage vectors,  $V_s$  tend to generate the different variations of torque slopes which causes the high torque ripples and variable switching frequency. When the torque excursion is too high and exceed the hysteresis band, the system starts to choose reverse voltage vectors,  $-V_s$  to ensure the sudden decrement in the torque magnitude. However, this will cause the torque to become unstable in a short period of time, increasing the probability of selecting reserve voltage vectors. The proposed DTC employs an alternate switching technique that allows the torque slopes to move in a zig-zag pattern, thus limiting the rate of excursion and resulting in a magnitude of torque within the torque estimation range. Since the magnitude of the torque does not exceed the hysteresis band limit, no reverse voltage vector selection is required. This enables the DTC system to operate with regulated torque performance, resulting in less ripple and an improvement in frequency variations.

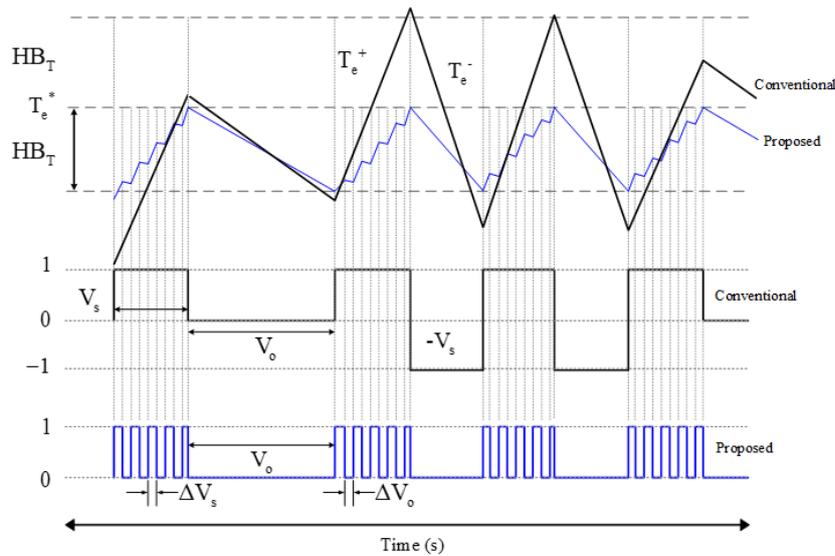


Figure 5. The comparison between conventional DTC and proposed DTC using alternate switching technique

#### 4. RESULTS AND DISCUSSION

The efficacy of the proposed alternate switching technique was simulated using MATLAB/Simulink. Here, an experimental evaluation was carried out to show workability in the real DTC drive system. The parameters and specifications used for this experimental setup are shown in Table 1. Since this study focuses on the low-speed application, the induction motor speed is set to 30 rad/s, while the selected torque is 1.5 Nm for both conventional and proposed alternate switching techniques. Furthermore, rated torque and flux are 4Nm and 0.8452 Wb, respectively. The conventional DTC and the proposed method will be evaluated using two different hysteresis band sizes of 0.5 Nm and 0.25 Nm.

Table 1. Induction Machine parameters

Induction Machine	
Parameter	Value
Rated power, P	1.1 kW
Rated speed, $\omega_m$ rated	2800 rpm
Stator Resistance, $R_s$	6.1 $\Omega$
Rotor Resistance, $R_r$	6.2293 $\Omega$
Mutual Inductance, $L_m$	0.4634 mH
Rotor self inductance, $L_r$	0.47979 mH
Stator self inductance, $L_s$	0.47979 mH
Number of pole pairs, p	2
Conventional and alternate switching of DTC system	
Torque Hysteresis band, $HB_{T_e}$	0.25 Nm and 0.5 Nm
Flux Hysteresis band, $HB_{\psi}$	0.0080 Wb

**4.1. Simulation results**

In this study, the focus is mainly on measuring the torque ripple's performance when using the alternate switching technique. Figure 6 shows the results of torque, phase voltages, and the condition of inverter switching status with the hysteresis band size 0.5. Initially, it is conducted in the conventional DTC method for the duration of 1.04 seconds before the alternate switching technique is employed. It is noticeable that the behaviour of phase voltage and inverter switching status started to be in high pulsation condition after 1.04 seconds, and the ripple of torque started to reduce when the alternate switching was initiated. Figure 6 (a) shows in full scale, and the magnified version is shown in Figure 6 (b). The condition of torque in the conventional DTC is steeper and can easily reach the torque reference in a very short time. For the proposed technique, the torque is less steep, while the condition of torque slope imitates the pulsation pattern of the alternate switching technique. This feature allows the rate of torque to be controlled directly without adjusting the DC-link voltage. Moreover, the potential of torque to overshoot over the hysteresis band can be reduced efficiently. The uniform alternate voltage generation from the proposed technique allows the condition of increment and decrement of torque slope to travel within the hysteresis band with less overshoot and undershoot condition [54].

The performance of the alternate switching is further tested with the lower hysteresis band size as the behaviour of torque using the alternate switching technique shown in Figure 7. It has a lower torque slope, while the rate of steepness can be controlled evenly for every peak cycle. Figure 7 (a) shows the same results of torque, phase voltages, and the inverter switching status with the hysteresis band size 0.25. The magnified version can be observed in Figure 7 (b). It can be observed that the condition of torque during conventional DTC greatly suffers in high ripple conditions resulting in the selection of reverse voltage vectors occurring frequently.

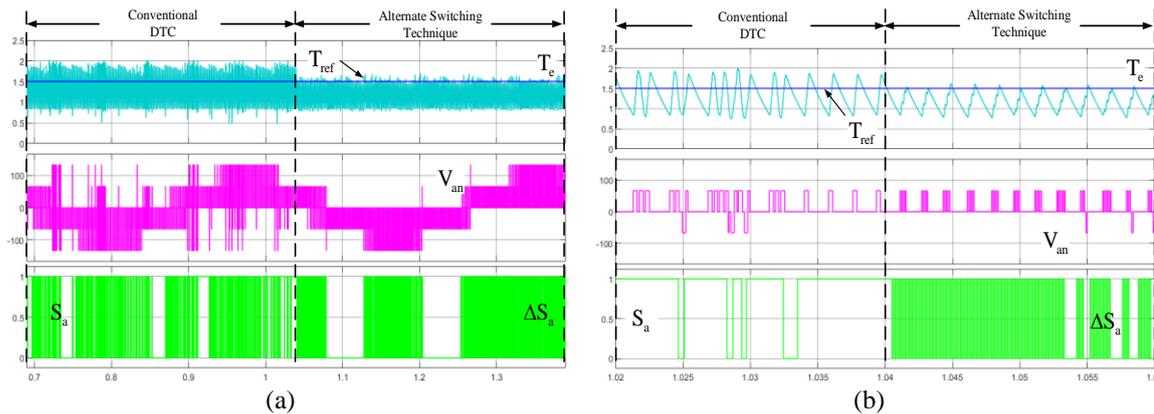


Figure 6. The performance of torque, phase voltage and inverter switching status under hysteresis band size 0.5 Nm (a) full scale and (b) magnified scale

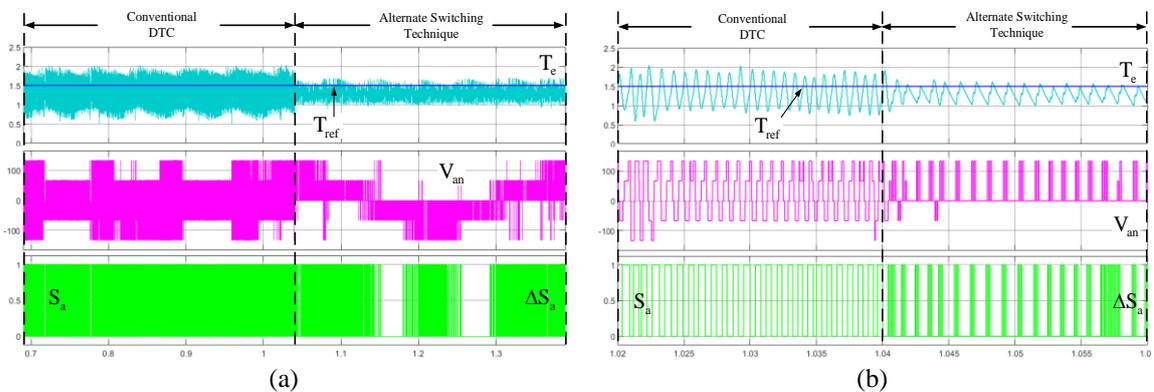


Figure 7. The performance of torque, phase voltage and inverter switching status under hysteresis band size 0.25 Nm (a) full scale and (b) magnified scale

This condition is due to the torque being extremely steep during the low-speed condition and the small size of the hysteresis band, allowing the torque to be easily driven far beyond the hysteresis band regularly [16], [68]. However, when the alternate switching technique is utilized, the condition of torque ripple has a massive reduction, and the selection of reserve voltage vector is also reduced.

Figure 6 and Figure 7 show that the conventional DTC suffers from high selection of reverse voltage vectors and the waveform of phase voltage is not properly generated. The condition of phase voltage is improved when the alternate switching technique is used, and the reverse voltage vectors are rarely selected. Figure 7 depicts the worse case situation at the phase voltage compared to Figure 6, in which the system is operated with a smaller hysteresis band, making it easier to exceed the torque reference and hysteresis band limit. It is proven that the alternate switching technique allows the torque to be regulated uniformly even in the smaller size of the hysteresis band.

#### 4.2. Experimental results

The complete DTC drive system, as in Figure 8, is set up to verify the proposed alternate switching technique experimentally. A three-phase two-level inverter drives the induction motor, while the load unit used in this system is the DC motor. Note that the load is proportional to the DC motor speed with additional resistive load. The main controller for this study is the dSPACE 1104, while the optimum sampling period for this device is 50  $\mu$ s [70].

For the experimental results, the same measurements are taken for the torque performance, phase voltage, and inverter switching status for both conventional DTC and proposed alternate switching techniques. Figure 9 (a) shows the waveform capture under the duration of 40 ms. It is noticeable that the torque condition in the conventional DTC is very high in ripple, which has significantly reduced when the alternate switching technique is employed. Here, the torque starts to oscillate regularly under the torque reference.

Figure 9 (b) shows the magnified version, which shows that the torque pattern changes drastically and in a uniform zig-zag pattern. As illustrated previously in Figure 4 (b), the torque increment,  $T_e^+$  and decrement,  $T_e^-$  now have a shorter time. The phase voltage and inverter switching status also start to operate in a high pulsation, but it does not change the initial condition of the DTC switching concept. This is due to the high pulsation operated under the same sequence as the conventional DTC, as shown in Figure 3.

The same investigation with lower hysteresis band size is tested for experimental testing, where the results are shown in Figures 10 (a) and 10 (b). The condition of torque in Figure 10 (a) suffers even higher ripple compared to torque ripple in Figure 9 (a) during the conventional DTC operation. In the same situation, when the alternate switching is employed, the torque ripple reduces significantly and follows the torque reference condition. As mentioned in section 3, the inverter switching status of the proposed technique will follow the same pattern of the conventional DTC scheme but with an alternate switching condition as in Figure 10 (b). The torque pattern during the alternate switching technique is also in a uniform zig-zag pattern and rarely crosses the torque reference [71].

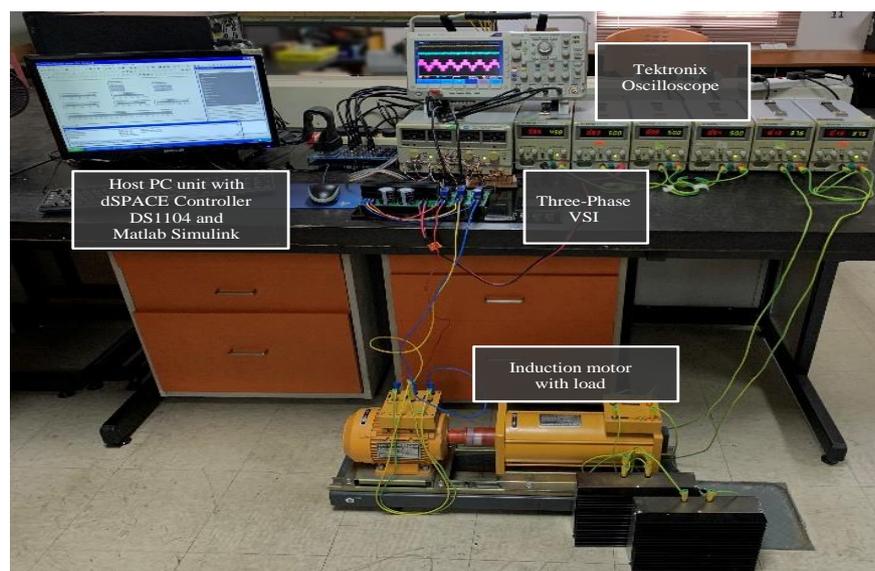


Figure 8. Experimental setup of DTC drive system

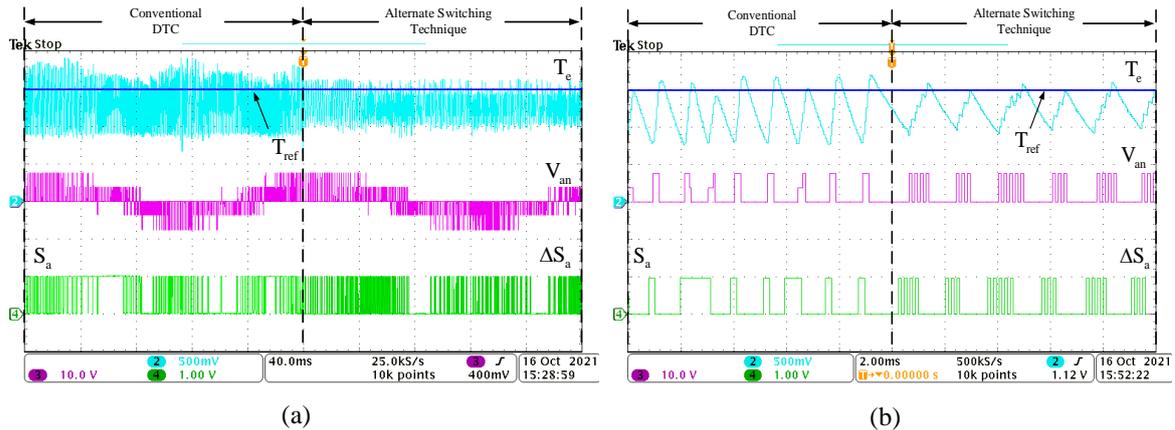


Figure 9. The performance of torque, phase voltage and inverter switching status under hysteresis band size 0.50 Nm. (a) full scale and (b) magnified scale

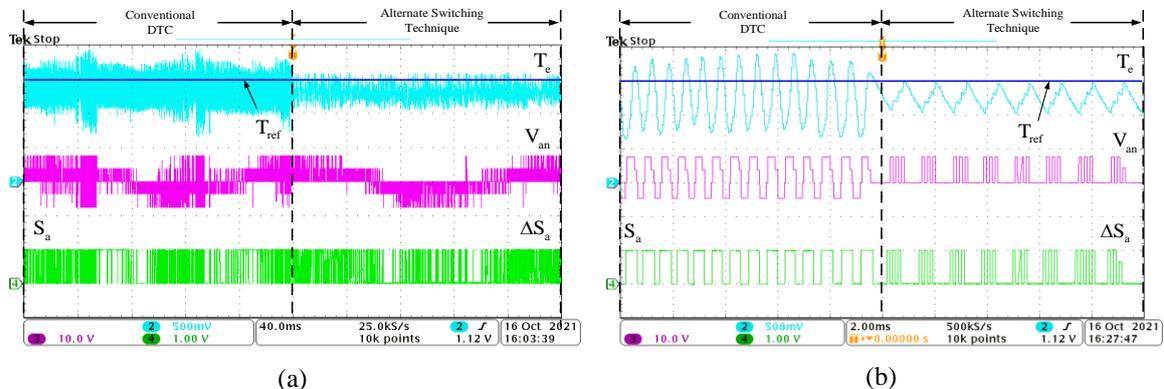


Figure 10. The performance of torque, phase voltage and inverter switching status under hysteresis band size 0.25 Nm. (a) full scale and (b) magnified scale

## 5. CONCLUSION

This paper proposes a novel and effective method to reduce the torque ripple in induction motor drives during low-speed operation. The proposed technique converts the standard voltage vectors into pulsation voltage vectors where the active and zero voltage vectors are separated into smaller widths, allowing the rate of torque increment and decrement to be reduced. By following the pulsation pattern, the increment of torque is now in a zig-zag pattern. This prevents the torque from crossing the torque reference and hysteresis band limit, which helps restrict the system from selecting the reserve voltage vectors. With this pattern, the proposed alternate switching technique in DTC promises the system to operate with regulated torque with less ripple and better frequency variations compared to the conventional DTC system. The viability of the proposed DTC has been demonstrated using MATLAB/Simulink and proven through lab-scale experimental assessment. The reduction in torque ripple using the alternate switching technique has significantly reduced over 40% in the low-speed operation while maintaining the basic structure of conventional DTC.

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