

A carrier pulse width modulation for asymmetric three-level NPC inverter

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ABSTRACT

The three-level neutral point clamped (NPC) inverters are widely used in practice. One of nowadays research trends of multilevel inverter topologies has been reduction of switch number. For this aim, this paper presents an asymmetric three level NPC inverter and study on output performance of phase disposition (PD) carrier pulse width modulation (CBPWM) for different offset voltage functions. A MATLAB/Simulink model of three-level asymmetric NPC inverter is developed to examine the impact of varying the offset voltage on the CBPWM output performances. Total harmonics distortions factors (THD) of voltages and currents are investigated for the whole modulation indices range. The obtained results show that harmonics voltage contents would be advantageous to set in discontinuous pulse width modulation (PWM) methods, particularly at lower voltage range. For asymmetrical topology, simulation results show that switching frequency optimal (SFO)-PWM method has not good performance at low modulation indices and its harmonics content presents an improved at high modulation indices range. Finally, a comparison of the output voltage and current quality via THD index is made between the asymmetric three-level NPC circuit and the conventional three-level NPC circuit are also provided to evaluate the feasibility of the asymmetric three-level NPC inverter in applications.

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1. INTRODUCTION

An increasing amount of research is being focused on multi-level converters to improve the quality of output waveforms for various types of renewable energy sources including solar energy [1], [2], photovoltaic [3], [4], wind turbine system [5], [6], and fuel cell [7], [8]. Among these, the three-level inverter is more commonly used due to its inherent advantages such as lower stress, lower total harmonic distortion (THD), better electromagnetic compatibility, and higher quality output waveforms compared to two-level inverters [9], [10], and it offers a lower cost compared to higher-level inverters [11].

Three-level inverter configurations such as neutral point clamped (NPC), cascade H-bridge, and flying capacitor have been widely brought into practical applications [12]. Among them, the NPC inverter is extensively used due to its simple construction, low electromagnetic interference, and considerably high efficiency [13]. A drawback of multilevel topologies is higher cost. One of possible ways to save costs of the multilevel inverter while it retains acceptable output performance is to reduce number of components. Methods for reducing the number of components applied to asymmetric multi-level NPCs are primarily researched based

on their source and are divided into four types: symmetric, asymmetric, hybrid, and single [14]. Darmian and Barakati [15] has proposed an asymmetric multilevel inverter composed of a cascade connection of units, which has a lower total standing voltage, switching loss, and suits low to medium voltage applications. A hybrid asymmetric 9-level inverter consisting of a three-phase three-level integrated gate-commutated thyristor with a two-level insulated-gate bipolar transistor H-bridge in series with each phase is investigated by Veenstra and Rufer [16]. The simulation results demonstrate significant improvements for medium-voltage industrial drives through power balance by varying the common-mode voltage. A new E-type module generating 13 levels with reduced components for an asymmetrical multilevel inverter proposed by Samadaei *et al.* [17] has the ability to generate both positive and negative output voltage without additional circuits. The simulation and experimental results show that the obtained THD of 3.46% and 4.54%, respectively, satisfy the harmonic standards.

This author also proposes a new multilevel inverter topology named square T-type (ST-type) module, which can generate 17 levels with reduced components. This proposal achieves similar effectiveness based on evaluations of simulation and experimental results [18]. An advantageous structure of an asymmetrical multilevel inverter to reduce the count of insulated supplies and improve energetic efficiency has overcome the restriction of high resolution for three-phase applications, and the effectiveness of this structure has been verified through experiments [19]. In traction drive applications, the 27-level asymmetric inverter is focused on utilizing only one power supply. This topology also permits full regenerative braking working as a three-level converter [20]. A novel structure of symmetrical and asymmetrical multilevel inverter using hexagon switch cells has been capable of producing 7/9/11 output levels by utilizing seven controlled switches. The experimental results have shown a significant reduction in voltage stress across the switches [21]. Espinosa *et al.* [22] has presented a new modulation method for asymmetric inverters, featuring an AC output voltage of 13 levels and ensuring unidirectional power flow in every power cell.

This proposal has dedicated a voltage waveform with low dv/dt and THD reduction through power flow and sensitivity analysis [22]. A series connection of fundamental blocks, considered a new topology of multilevel inverter in voltage levels number with minimum switching devices, is proposed by Mokhberdoran and Ajami [23]. Four different operation modes, including 9-level, 25-level, 31-level, and 49-level, are experimented for verification of effectiveness at both high and low frequencies [23]. A virtual space vector PWM for asymmetric T-type NPC three-level inverter has been presented by Doan and Nguyen [24], and good performance and capacitor voltage balance are demonstrated through comparative evaluations between asymmetric T-type, two-level, and three-level inverters by simulation and experimental results. A large number of switching state asymmetric inverters associated with predictive control algorithms are exhibited to reduce processing time. The experiment of the 27-level inverter has achieved fast dynamic responses [25].

This paper presents a study of pulse width modulation (PWM) performance of an asymmetric three-level NPC inverter. The research content investigates an offset based phase disposition (PD) carrier pulse width modulation algorithm to find a suitable coefficient for the offset voltage function, aiming to optimize harmonics contents in output voltages and currents in whole range of modulation indices. This research is divided into several parts: i) In section 2, the asymmetric three-level NPC configuration is presented by replacing a two-level branch into conventional three-level NPC inverter; ii) Then, a carrier pulse width modulation algorithm using the offset voltage is proposed in section 3 in presence of the function of coefficient; iii) A MATLAB/Simulink model is built to evaluate the THD index in section 4 with the aim of demonstrate the effectiveness of proposed control algorithm and discuss suitable parameters for the effective operation of the asymmetric three-level NPC inverter; and iv) Finally, several crucial points from this study are summarized in section 5.

2. THE ASYMMETRICAL THREE-LEVEL NPC INVERTER

The three-level asymmetric NPC circuit has a schematic diagram as in Figure 1 (show in Appendix) and is powered by a DC voltage source U_d . Phase A is configured with 2 power MOSFETs, similar to a conventional three-phase bridge circuit, and is controlled by phase legs (S_A , S'_A). Phase B and phase C are configured with 4 power MOSFETs each, similar to a conventional three-level NPC inverter, and are controlled by phase legs (S_{B1} , S_{B2} , S'_{B1} , S'_{B2}) and (S_{C1} , S_{C2} , S'_{C1} , S'_{C2}), respectively. Three-phase loads $R - L$ are connected to the output terminals of the inverter.

3. PROPOSED CARRIER PULSE WIDTH MODULATION

A PD carrier based PWM controlled by an offset voltage is specifically proposed to control the switching state of power MOSFETs gates. To begin with, the limits of the offset voltage, which depend on the three-phase and DC voltage source U_d , are defined for CBPWM as in (1).

$$\begin{cases} V_{o_{max}} = U_d - Max \\ V_{o_{min}} = -Min \end{cases} \quad (1)$$

Where $Max = Max(V_A, V_B, V_C)$; $Min = Min(V_A, V_B, V_C)$; $V_{A,B,C}$ are the reference three-phase voltages.

The offset voltage lying between the upper and lower limits can be expressed as a function of coefficient η , ($0 \leq \eta \leq 1$) as in (2).

$$\begin{cases} V_o = (1 - \eta)V_{o_{min}} + \eta V_{o_{max}} \\ V_{o_{min}} \leq V_o \leq V_{o_{max}} \end{cases} \quad (2)$$

The inverter leg voltages are presented as (3).

$$\begin{cases} V_{AO} = V_A + V_o \\ V_{BO} = V_B + V_o \\ V_{CO} = V_C + V_o \end{cases} \quad (3)$$

The carrier wave of phase A has the same frequency, but its amplitude is two times higher than the PD carrier waves of the other two phases. The relationship between the control voltages calculated as (4) and the three-phase carrier wave is shown in Figures 2(a)-2(c).

$$\begin{cases} V_{dk_A} = \frac{V_{AO}}{U_d/2}; (0 \leq V_{dk_A} \leq 2) \\ V_{dk_B} = \frac{V_{BO}}{U_d/2}; (0 \leq V_{dk_B} \leq 2) \\ V_{dk_C} = \frac{V_{CO}}{U_d/2}; (0 \leq V_{dk_C} \leq 2) \end{cases} \quad (4)$$

Finally, the comparison between the carrier waves and the control voltage generates a signal to turn on and off the power MOSFETs, as shown in Figure 3, where S_{xj} , and S'_{xj} , $x=A, B$, and C and $j=1,2$ are complementary output signals, which are deduced from the same comparison but opposite to each other.

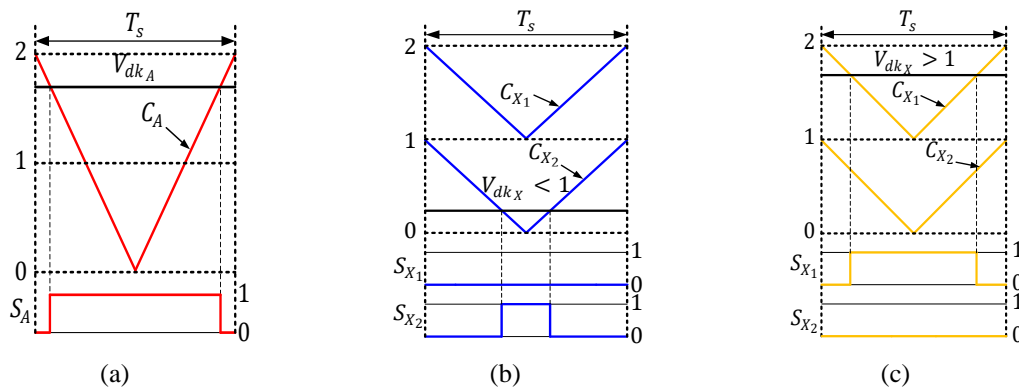


Figure 2. Diagrams of the carrier waves, the control voltages and the switching states: (a) in case of phase A, (b) in case of phases B, C with $V_{dk_X} < 1$, and (c) in case of phases B, C with $V_{dk_X} > 1$, $X = B, C$

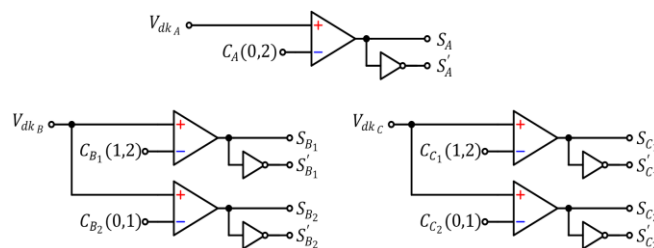


Figure 3. PWM signal generator for the asymmetrical three-level NPC

4. SIMULATION

The MATLAB/Simulink simulation model of the asymmetric three-level inverter circuit, including the power circuit and control circuit, is constructed as shown in Figure 4, with the parameters presented in Table 1. The three-phase input reference voltage has the form of a sine wave, as presented in (5). All simulation results are implemented with values of m ranging from 0 to 1.154 and values of η ranging from 0 to 1, with a step of 0.1.

$$\begin{cases} V_A = m V_{base} \sin(2\pi f_r t) \\ V_B = m V_{base} \sin(2\pi f_r t - 2\pi/3) \\ V_C = m V_{base} \sin(2\pi f_r t + 2\pi/3) \end{cases} \quad (5)$$

Where $0 \leq m = \frac{V_{A,B,C}}{V_{base}} \leq 2/\sqrt{3}$ is the modulation index, $V_{base} = U_d/2$ is the base voltage.

Table 1. The simulation parameters

Variables	Values	Variables	Values
Frequency of reference voltage, f_r	60 (Hz)	Sample time, T_s	0.00056 (s)
Frequency of carrier wave, f_c	1800 (Hz)	Mosfet resistance, R_m	0.01 (Ω)
Resistance load, R	1 (Ω)	Diode resistance, R_d	0.001 (Ω)
Inductance load, L	0.01 (H)	DC voltage source, U_d	200 (V)

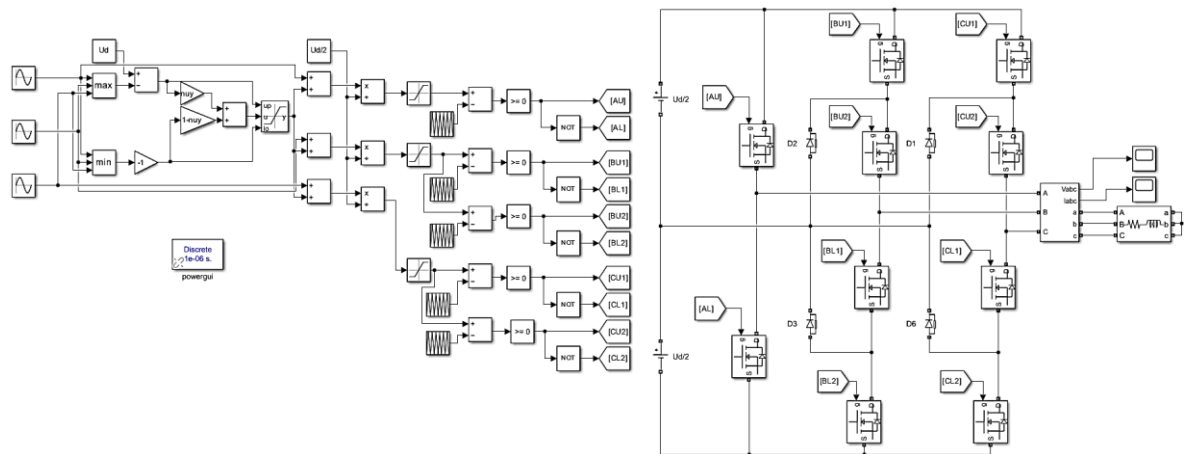


Figure 4. MATLAB/Simulink model of the asymmetrical three-level NPC

Figure 5(a) presents the simulation results of line voltage with $\eta = 0.5$. It is evident that there is a distinction in the voltage waveforms between V_{AB} , V_{BC} , and V_{CA} . Specifically, the line voltage BC is divided into five separate levels, resembling the voltage signal of the conventional three-level NPC circuit, and has a modulated step of 100 (V). Meanwhile, the two voltages V_{AB} , and V_{CA} also feature five levels, but there are several differences as beside modulated steps of 100 V, the voltages endure also larger steps of 200 V: $\{(0, 200), (-100, 100), (-200, 0)\}$.

The mentioned analyses are entirely reasonable due to the involvement of the two-level branch inverter leg in phase A. Consequently, the line AB and CA voltages as the outcomes of hybrid two-level and three-level voltage inverter have reduced their quality with an increased harmonics distortion. The line V_{BC} response is similar to corresponding voltage in conventional three-phase three-level NPC inverter, with good harmonics content. If phase load voltages are concerned, the two-level switching of the A-leg causes distorted waveform the most on A-phase, and it also deforms remaining phases B, C at certain level as shown in Figure 5. The phase voltages v_A , v_B , and v_C are also deduced in Figure 5(b). The two-leg topology shows a significant impact on the A-phase voltage, while in the remaining phases B and C, the voltage waveforms are less deformed. In Figure 6, it is demonstrated nearly sinusoidal three phase currents for $m = 1$ and $\eta = 0.5$. The AB current fluctuates at the rising and falling edges of the sinusoidal current waveform with a slight magnitude. The amplitude of the CA current is slightly larger than the other two components, and there is a minor fluctuation at the peak of the sinusoidal current waveform. The BC current exhibits the best performance with minor fluctuations.

In general, the THD of the phase voltage, line voltage, and current becomes worsen while modulation index decreased. The THD_{I_A} in Figure 7(a) is insignificantly lower than THD_{I_B} in Figure 7(b), and both of them are symmetrical with $\eta = 0.5$. At $m \leq 0.4$, the modulation quality tends to decrease, and the peak THD values for phase A and B are 37.5% and 20.3% at $m = 0.1, \eta = 0.5$, respectively. The best current quality is concentrated at $m = 1.154$ and $\eta = 0.5$, about 1.64% and 1.09% for phase THD_{I_A} and THD_{I_B} , respectively.

The influences of m and η on THD of the phase voltage A and B are shown in Figures 8(a) and 8(b), and the peak magnitudes of THD reach about 934% and 546% at $\eta = 0.5, m = 0.1$ for THD_{V_A} and THD_{V_B} , respectively. The optimal THD values occur at $m = 1.154$ and $\eta = 0.5$, with approximately 45.95% and 33% for phase A and B, respectively. Notably, it is evident that the THD of the phase is less dependent on η with $m > 0.4$.

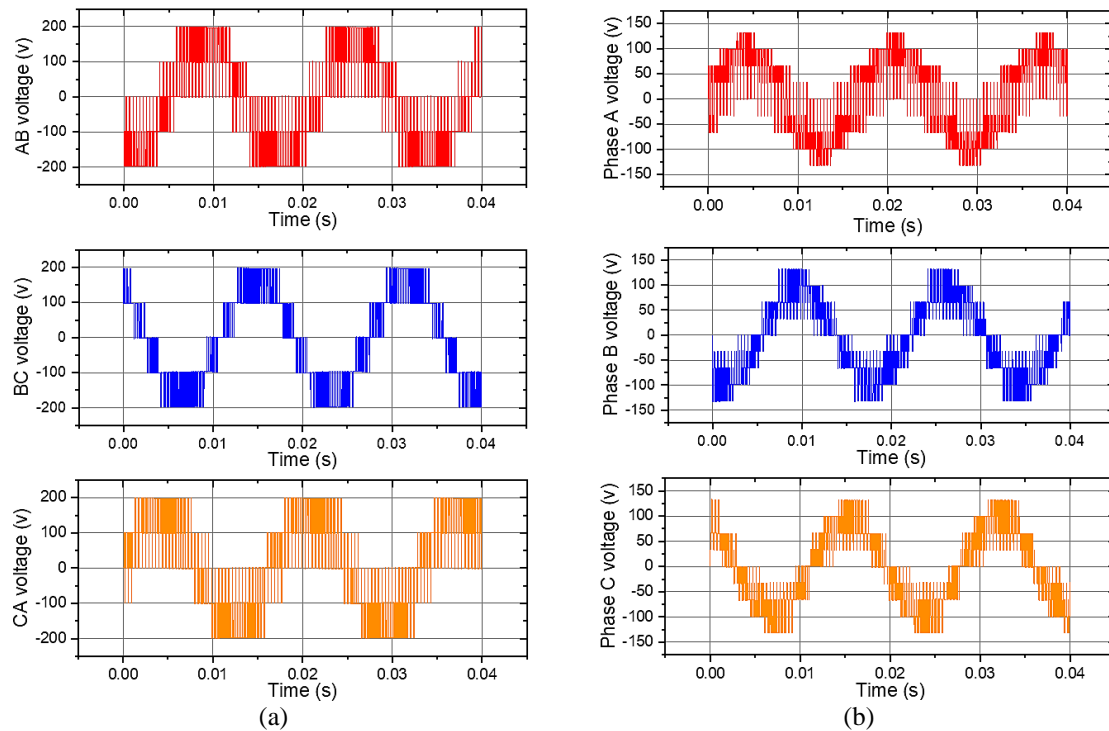


Figure 5. The voltage of the asymmetrical three-level NPC ($\eta = 0.5, m = 1$): (a) the line voltage and (b) the phase voltage

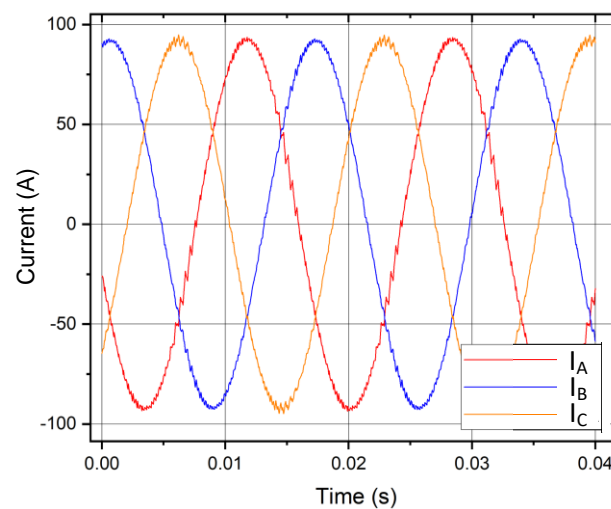


Figure 6. The three-phase current of the asymmetrical three-level NPC ($\eta = 0.5, m = 1$)

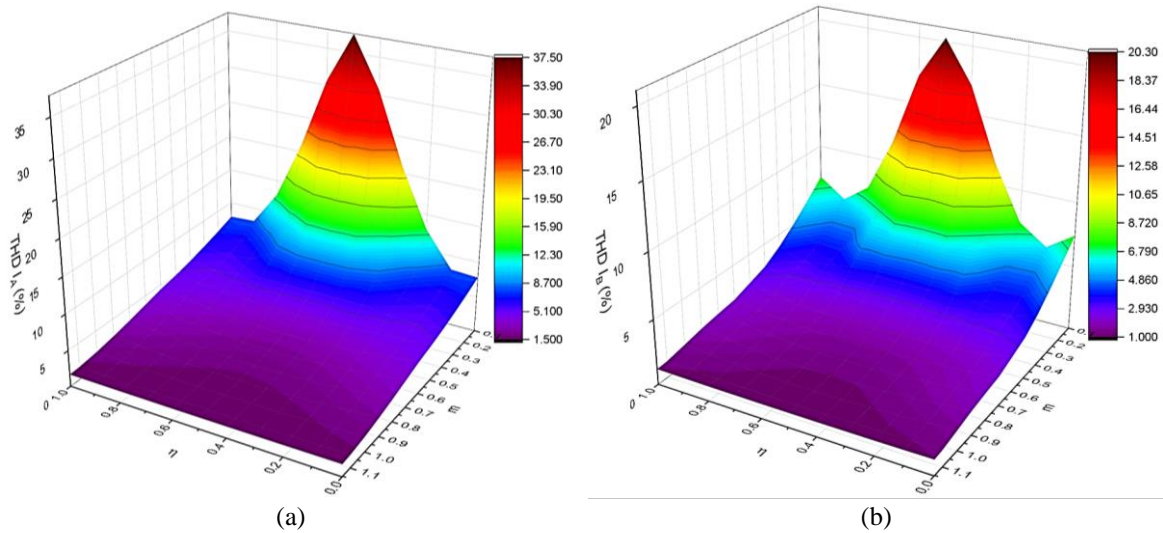


Figure 7. Diagrams of current distortion $THD_i(m, \eta)$: (a) A phase current THD and (b) B phase current distortion

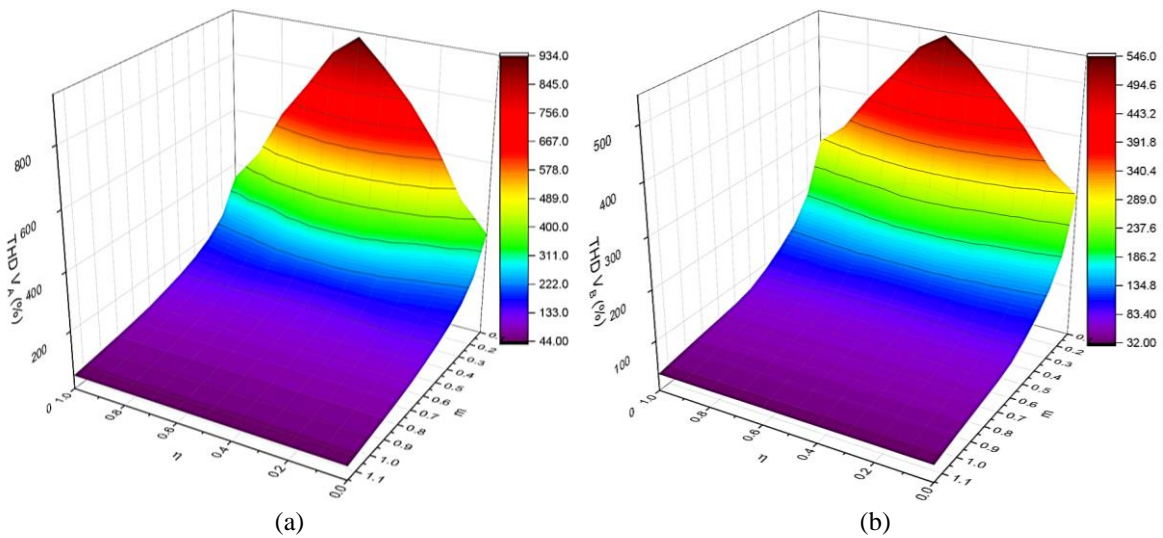


Figure 8. Diagrams of voltage distortion $THD_u(m, \eta)$: (a) A phase voltage THD and (b) B phase voltage distortion

Similar to the THD of phase voltage, the $THD_{V_{AB}}$ and $THD_{V_{BC}}$ presented in Figures 9(a) and 9(b), respectively, are evenly distributed and do not significantly depend on η with $m > 0.4$. However, there is a substantial difference in the peak THD of the two-line voltages AB and BC, approximately 840% and 281%, respectively. The optimal $THD_{V_{AB}}$ and $THD_{V_{BC}}$ are located at $m = 1.154$, with around 42.19% and 27.31%, respectively.

The optimal proportional coefficients, which exhibit best THD quality for asymmetric three-level NPC inverter, is recommended with each modulation index. Due to the inconsistency of THD between phases, it is necessary to calculate the average THD of the asymmetric three-level inverter, which is compared to the THD of the conventional three-level inverter in order to evaluate the effectiveness and the practical application potential of this circuit. The average THD quality of line voltage and current are defined via (6) and (7), respectively.

$$\overline{THD}_V = \sqrt{\frac{THD_{V_{AB}}^2 + THD_{V_{BC}}^2 + THD_{V_{CA}}^2}{3}} \quad (6)$$

$$\overline{THD}_I = \sqrt{\frac{THD_{I_{AB}}^2 + THD_{I_{BC}}^2 + THD_{I_{CA}}^2}{3}} \quad (7)$$

In general, the \overline{THD}_V as shown in Figure 10(a) in asymmetric circuits is consistently lower than THD_V in Figure 10(b) of conventional circuits. The best and worst \overline{THD}_V of asymmetric circuits are approximately 32.08% at $m = 1.154, \eta = 0$ and 535.25% at $m = 0.1, \eta = 0.5$, respectively. In contrast, the conventional three-level NPC inverter exhibit THD_V with the best and worst qualities being 27.34% at $m = 1.154, \eta = 0$ and 281.56% at $m = 0.1, \eta = 0.5$, respectively. Both types of inverters achieve optimal \overline{THD}_V at $m = 1.154$, while the poorest quality is observed at $m = 0.1$ and is distributed symmetrically around $\eta = 0.5$.

Similar to the line voltage, the THD_I shown in Figure 11(a) of the conventional three-level NPC inverter always exhibits better quality than \overline{THD}_I of the asymmetric one Figure 11(b). When choosing the proportional coefficient, the asymmetric three-level NPC inverter have to avoid selecting $\eta = 0.2 - 0.8$ at $m \leq 0.4$, as \overline{THD}_I increases at these points, with the highest value being 27.24% at $\eta = 0.5, m = 0.1$. Instead, the proportional coefficients $\eta = 0.9$ & 0.1 are recommended, resulting in \overline{THD}_I ranging from approximately 3.92% to 6.78% for m values ranging from 0.4 to 0.1. On the other hand, the \overline{THD}_I and THD_I have better quality at $\eta = 0.5$ when $m > 0.4$, and the best one is concentrated at $m = 1.154$, with values of about 1.3% and 0.89% for the asymmetric and conventional three-level NPC inverter, respectively.

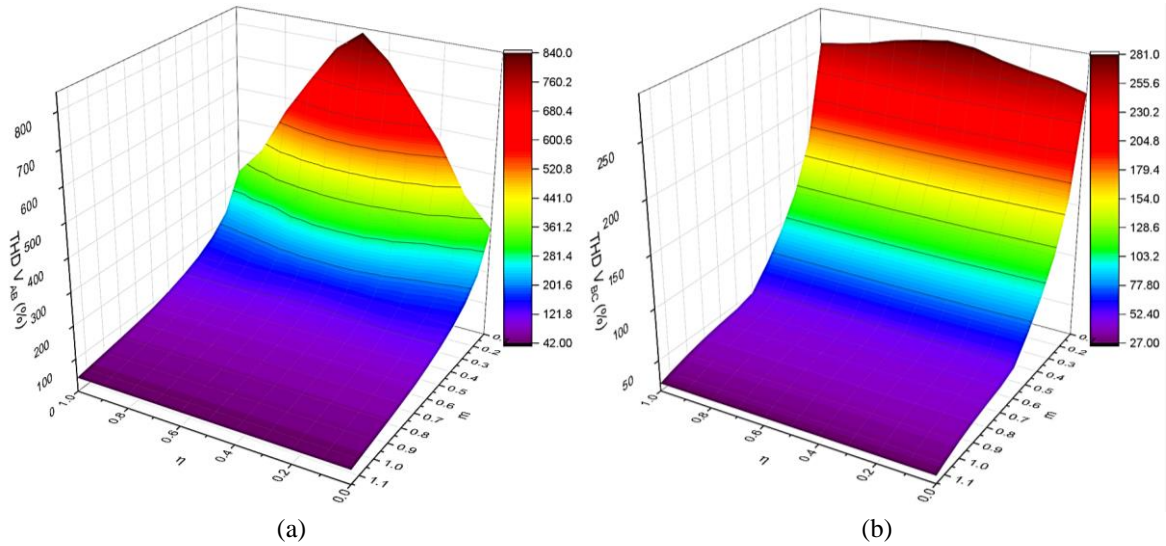


Figure 9. Diagrams of voltage distortion THD (m, η): (a) THD(VAB) and (b) THD(VBC)

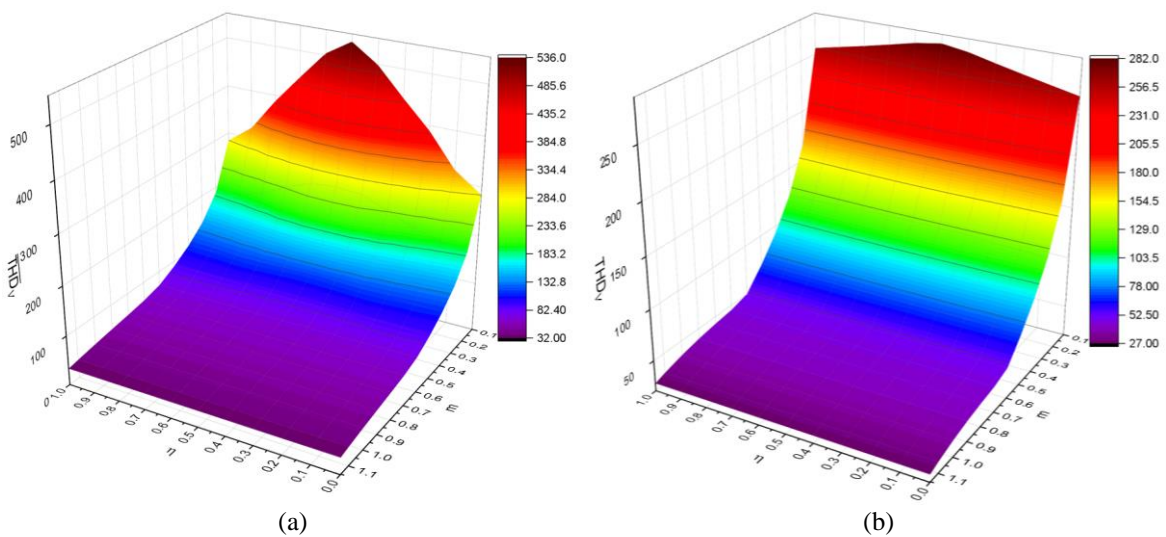


Figure 10. The comparison between (a) the average \overline{THD}_V of line voltage in the asymmetric three-level NPC inverter and (b) the THD_V of line voltage in the conventional three-level NPC inverter

The comparisons of THD between the asymmetric and conventional three-level NPC inverter at various values η (0, 0.5, 1) are expressed for the current THD as shown in Figure 12(a) and the line voltage THD as shown in Figure 12(b). The $\overline{\text{THD}}_I$ and $\overline{\text{THD}}_V$ for two particular cases with $\eta = 0$ and $\eta = 1$, which correspond to discontinuous pulse width modulation techniques, it can be seen the same and better THD performance than CBPWM with $\eta = 0.5$ (SFO-PWM) when $m \leq 0.4$. Therefore, the discontinuous CBPWM technique using $V_o = V_{o_{\max}}$ or $V_o = V_{o_{\min}}$ is preferable as an effective strategy for low modulation indices. Figure 12(b) shows that the difference in $\overline{\text{THD}}_V$ of the asymmetric three-level NPC inverter with three coefficient values $\eta = 0, 0.5, 1$ is insignificant when $m > 0.4$, but there is a larger difference in current $\overline{\text{THD}}_I$, with best case at $\eta = 0.5$. Therefore, the PWM technique with a medium offset $V_o = (V_{o_{\max}} + V_{o_{\min}})/2$ is advantageous used when $m > 0.4$. At close maximal modulation index $m=1.154$, the THD performance between discontinuous PWM = (0; 1), and continuous PWM with $\eta = 0.5$ is negligible, then for lower switching loss, discontinuous PWM can be recommended.

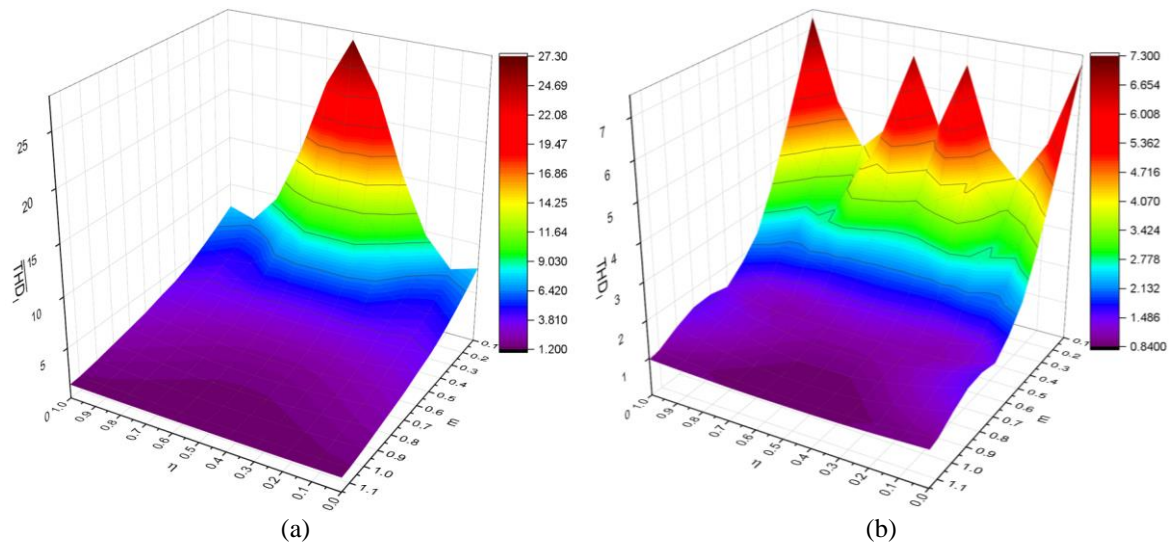


Figure 11. The comparison between (a) the average $\overline{\text{THD}}_I$ of current in the asymmetric three-level NPC inverter and (b) the THD_I of current in the conventional three-level NPC inverter

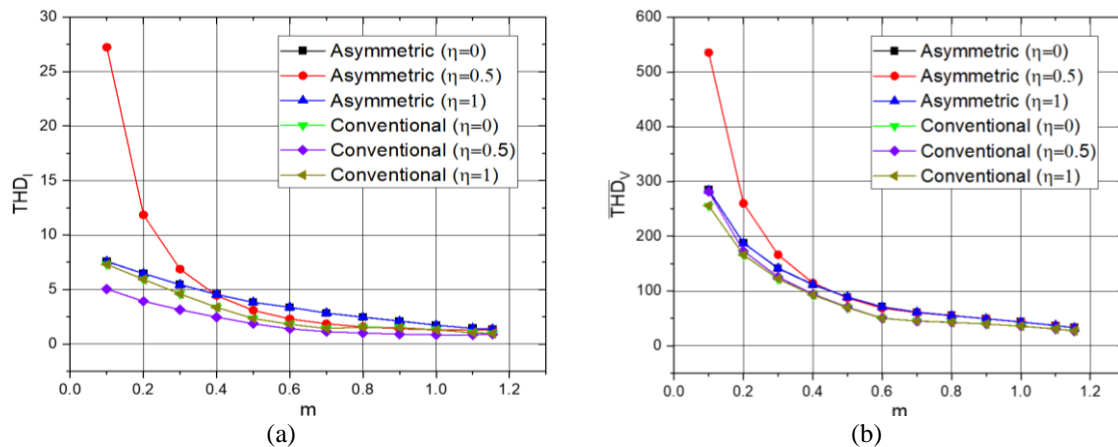


Figure 12. The THD comparison between the optimal proportional coefficients of the asymmetric and conventional three-level NPC inverter for (a) the average $\overline{\text{THD}}_I$ of current and (b) the average $\overline{\text{THD}}_V$ of line voltage

5. CONCLUSION

This paper studies the influence of offset function in PD carrier-based PWM algorithm for the three-level asymmetric NPC inverter. For given reference voltages, the calculation of average THD of the

asymmetric three-level NPC inverter is implemented with different offset values to evaluate its effectiveness when compared to the THD of the conventional one. Based on investigated results, the offset factor η can be recommended for given reference voltages in order to ensure the quality output of the phase voltages, the line voltages and the currents. Unlike conventional three-level NPC inverter, where SFO-PWM method commonly is advantageous for lower harmonics distortion content, the three-level asymmetric NPC inverter has a poor performance at low modulation index range. In contrary, the discontinuous PWM modes show better output voltage and current quality and they offer perspective solutions. For high modulation indices, SFO-PWM method shows a better performance for having lower current harmonics distortion.

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APPENDIX

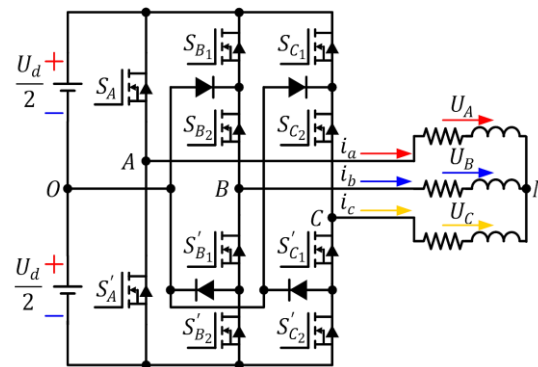


Figure 1. The circuit diagram of asymmetrical three-level NPC




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