

## Constant Frequency Torque Controller for DTC with Multilevel Inverter of Induction Machines

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### Article Info

#### Article history:

Received Oct 22, 2015

Revised Jan 7, 2016

Accepted Jan 23, 2016

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#### Keyword:

Constant switching frequency  
Direct torque control  
Induction machines  
Multilevel inverter  
Torque Controller

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### ABSTRACT

Direct Torque Control using multilevel inverter (DTC-MLI) with hysteresis controller suffers from high torque and flux ripple and variable switching frequency. In this paper, a constant frequency torque controller is proposed to enhance the DTC-MLI performance. The operational concepts of the constant switching frequency torque controller of a DTC-MLI system followed by the simulation results and analysis are presented. The proposed system significantly improves the DTC drive in terms of dynamic performance, smaller torque and flux ripple, and retains a constant switching frequency.

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## 1. INTRODUCTION

Since it has been introduced in early 1980s [1], Direct Torque Control (DTC) has gained its popularity in electrical drives research area. Recently, the application of high-power medium voltage in AC drives has shown rapid development. Thus, the use of multilevel inverters in DTC scheme has become an important structure for further development and improvement. Numerous technical papers have shown a superior performance of DTC scheme using multilevel inverters [2-34].

By utilizing the multilevel inverter in DTC scheme, the choices of voltage vectors that can be used to control the torque and flux are increased. As a result, more precise control can be obtained. Several control method have been proposed for DTC scheme using multilevel inverter (DTC-MLI); hysteresis-based and non-hysteresis-based such as predictive control strategy [11, 15, 17, 18, 20, 22, 26, 27, 30], space vector modulation strategy [2, 4, 8-10, 14, 21, 28, 29, 33] and fuzzy logic control strategy [3, 5, 7, 19, 31, 34-36].

The employment of hysteresis-based control strategy in discrete implementation has led to high torque ripple even with a small hysteresis band. This is due to the delay in sampling time. Besides that, it created a variable switching frequency of the switching devices which leads to an unpredictable harmonics current.

As a result, some researchers preferred to use non-hysteresis-based control strategy. Significant improvements are accomplished in terms of flux and torque ripple and devices switching frequency. However it involves a complex mathematical equations and algorithm which has led to the complexity of the DTC-MLI scheme and high computational burden particularly when the multilevel inverter's level is increased.

In [12], the DTC-MLI scheme employs a multilevel hysteresis controller. It shows some significant improvements, however the power devices switching frequency varies and the torque and flux ripple can still be considered as high. A PI-constant switching frequency (PI-CSF) torque controller for DTC was initially introduced in [37, 38]. The PI-CSF torque controller was replacing the conventional hysteresis controller while maintaining the use of lookup-table. However it has been used for DTC with conventional 3-phase inverter.

In this work, the PI-CSF torque controller is utilized in DTC-MLI system. Figure 1 shows a proposed system block diagram. The proposed controller consists of a PI controller and a triangular carrier signal. The constant switching frequency is obtained by comparing the PI controller output with the triangular carrier signals. In this scheme, the proposed controller will replace the multilevel hysteresis torque controller. The proposed system is employed a 5-level cascaded H-Bridge multilevel inverter (CHMI) as a power converter. The multiple isolated DC sources in CHMI are particularly suitable for an electric vehicle (EV) application since the power source for an EV can be obtained from the battery modules.

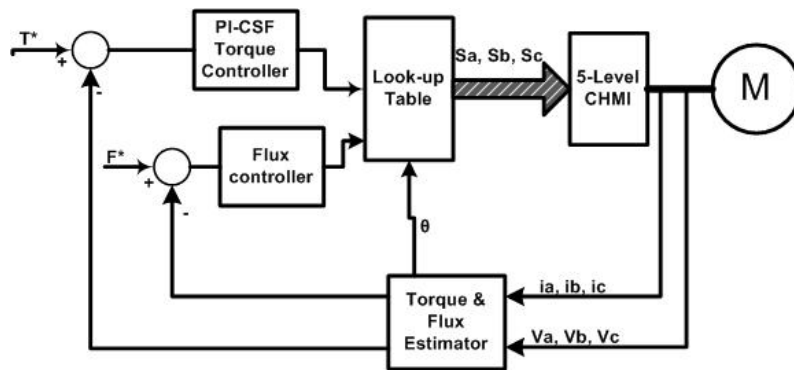


Figure 1. Proposed system block diagram

In this paper, the operational concept of PI-CSF torque controller utilized in DTC-MLI system followed by simulation results of the proposed system is presented. Furthermore the experimental results are disclosed to validate the simulation results together with the analysis on the proposed system performance. The results have shown that superior dynamic performance, smaller torque and flux ripples and constant device switching frequency are achieved.

## 2. MULTILEVEL INVERTER

A 5-level cascaded H-Bridge multilevel inverter (CHMI) consists of 2 cells of H-Bridge inverter connected in cascaded form with separated DC sources. Figure 2 shows a configuration of 5-level CHMI. The number of voltage level,  $L$ , for CHMI can be determined by

$$L = 2m + 1 \quad (1)$$

Where  $m$  is a number of cell per phase. Each H-bridge cell produced  $V_{jm}$  output voltage, where  $j$  denotes the phases; a, b, c. Since the cells are connected in series, the total output voltage for each phase would be

$$V_{aN} = \sum_{m=1}^2 V_{am} \quad (2)$$

$$V_{bN} = \sum_{m=1}^2 V_{bm} \quad (3)$$

$$V_{cN} = \sum_{m=1}^2 V_{cm} \quad (4)$$

$V_{aN}$ ,  $V_{bN}$  and  $V_{cN}$  is the voltage output per phase with respect to the neutral,  $N$ . By considering each cell produce  $\{-V_{DC}, 0, V_{DC}\}$ , based on (2), (3) and (4), each phase will produce a 5-level output voltage;

$$V_{aN} = V_{bN} = V_{cN} = \{-2V_{DC}, -1V_{DC}, 0, 1V_{DC}, 2V_{DC}\} \quad (5)$$

In space phasor form, the output voltage,  $\mathbf{V}_s$ , that generated by the inverter can be defined as

$$V_s(t) = \frac{2}{3} (V_{aN}(t) + aV_{bN}(t) + a^2V_{cN}(t)) \quad (6)$$

Where  $a = e^{j2\pi/3}$  and  $a^2 = e^{j4\pi/3}$ . In d-q form, the output voltage can be expressed as

$$V_{sd} = \frac{1}{3} (2V_{aN} - V_{bN} - V_{cN}) \quad (7)$$

$$V_{sq} = \frac{1}{\sqrt{3}} (V_{bN} - V_{cN}) \quad (8)$$

Considering equation (5), (6) and (7), there exist 125 combinations of phase voltage with 61 voltage vectors generated for 5-level CHMI. The higher the level of multilevel inverter, the higher the number of the voltage vector generated, thus giving more degrees of freedom in choosing voltage vectors for control purposes. Figure 3 and Figure 4 shows a voltage vector map on d-q plane generated from 5-level CHMI and the configuration of the induction machines fed by 5-level CHMI, respectively.

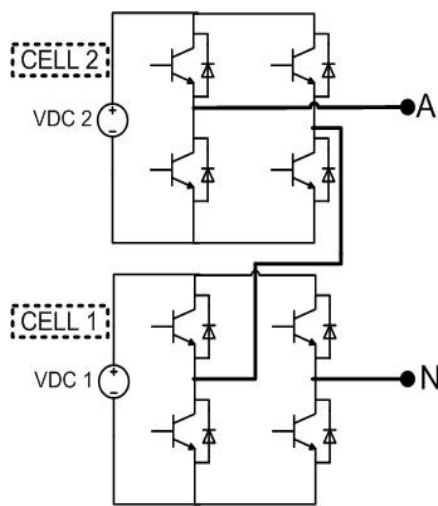


Figure 2. 5-level cascaded H-bridge multilevel inverter (CHMI)

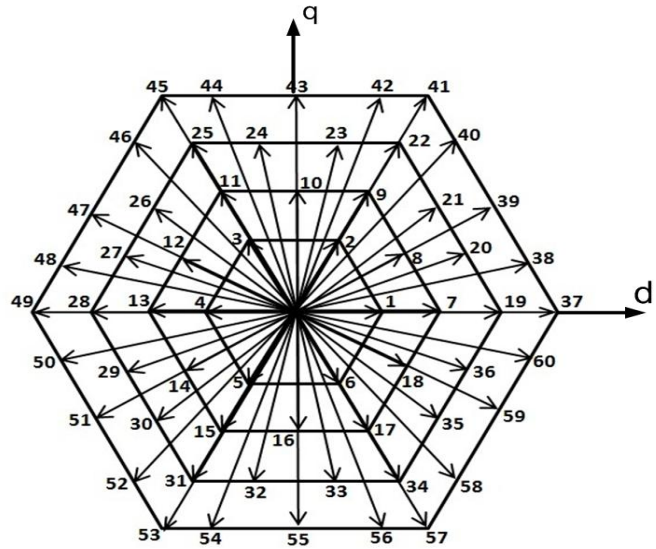


Figure 3. Voltage vector map on d-q plane

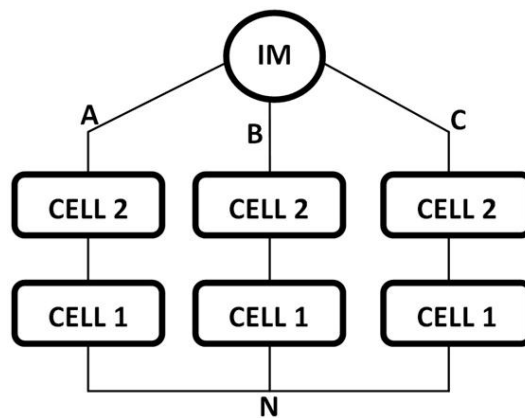


Figure 4. 3-phase induction machine fed by 5-level CHMI

### 3. STRUCTURE AND MODELING OF THE DTC WITH CONSTANT SWITCHING FREQUENCY

To analyze the DTC drive in terms of its switching frequency, the induction machine is modeled using the following equations. These equations are written in general reference frame.

$$\overline{v}_s^g = R_s \overline{i}_s^g + \frac{d\overline{\psi}_s^g}{dt} + j\omega_g \overline{\psi}_s^g \quad (9)$$

$$0 = R_r \overline{i}_r^g + \frac{d\overline{\psi}_r^g}{dt} + j(\omega_g - \omega_r) \overline{\psi}_r^g \quad (10)$$

$$\overline{\psi}_s^g = L_s \overline{i}_s^g + L_m \overline{i}_r^g \quad (11)$$

$$\overline{\psi}_r^g = L_r \overline{i}_r^g + L_m \overline{i}_s^g \quad (12)$$

The superscript “g” in the above equations denotes that the quantities are referred to the rotating general reference frame. In the above equations,  $\overline{v}_s^g$ ,  $\overline{i}_s^g$  and  $\overline{i}_r^g$  are the stator voltage, stator current and rotor current, respectively.  $R_s$ ,  $R_r$ ,  $\overline{\psi}_s^g$  and  $\overline{\psi}_r^g$  are the stator resistances, rotor resistances, stator flux linkages and rotor flux linkages, respectively.  $\omega_g$  is the general reference speed and  $\omega_r$  is the rotor speed.  $L_s$ ,  $L_r$  and  $L_m$  are the stator self-inductance, rotor self-inductance and mutual self-inductance respectively.

The torque and mechanical dynamics of the induction machines are modeled as follows:

$$J \frac{d\omega_m}{dt} = J \frac{2}{p} \frac{d\omega_r}{dt} = T_e - T_{load} \quad (13)$$

$$T_e = \frac{3}{2} \frac{p}{2} \overline{\psi}_s^g \times \overline{i}_s^g \quad (14)$$

Where  $T_e$  is the electromagnetic torque,  $T_{load}$  is the torque load,  $J$  is the moment of inertia,  $p$  is the number of pole and  $\omega_m$  is the mechanical rotor speed.

By using (9) – (14) in the stationary reference frame, the positive and negative torque slope are obtained by [39, 40]

$$\frac{dT_e^+}{dt} = -T_e \left( \frac{1}{\sigma\tau_s} + \frac{1}{\sigma\tau_r} \right) + \frac{3}{2} \frac{p}{2} \frac{L_m}{\sigma L_s L_r} (\overline{V}_s - j\omega_r \overline{\psi}_s) \cdot j\overline{\psi}_r \quad (15)$$

$$\frac{dT_e^-}{dt} = -T_e \left( \frac{1}{\sigma\tau_s} + \frac{1}{\sigma\tau_r} \right) - \frac{3}{2} \frac{p}{2} \frac{L_m}{\sigma L_s L_r} (j\omega_r \overline{\psi}_s) \cdot j\overline{\psi}_r \quad (16)$$

Where  $\sigma$  is the total flux linkage factor,  $\tau_s$  is the stator time constant and  $\tau_r$  is the rotor time constant. In (16), it is assumed that the zero voltage vectors are selected in order to reduce the torque. The instantaneous stator flux frequency can be obtained in terms of the average synchronous frequency and duty ratio [38]. Therefore (15) and (16) can be written in the stator flux reference frame as follows:

$$\frac{dT_e^+}{dt} = -A T_e + B V_s^{\psi_s} + K \left( \frac{\omega_e}{d} - \omega_r \right) \quad (17)$$

$$\frac{dT_e^-}{dt} = -A T_e - K \omega_r \quad (18)$$

Where

$$A = \left( \frac{1}{\sigma\tau_s} + \frac{1}{\sigma\tau_r} \right) \quad (19)$$

$$B = \left( \frac{3p}{4} \right) \left( \frac{L_m}{\sigma L_s L_r} \right) \psi_s \quad (20)$$

$$K = \left( \frac{3p}{4} \right) \left( \frac{L_m}{\sigma L_s L_r} \right) \psi_s \psi_r^{\psi_s} \quad (21)$$

In (17) and (18), it is assumed that the  $q$  components of the particular voltage vector are zero and the stator and rotor fluxes are constant which means that the voltage vectors are tangential to the circular stator flux locus. Finally, the equation (17) and (18) are averaged and simplified to give

$$\frac{dT_e}{dt} = -A T_e + B V_s^{\psi_s} d + K (\omega_{slip}) \quad (22)$$

#### 4. THE PROPOSED CONTROLLER

The proposed torque controller is employed as an alternative to the hysteresis-based controller with the benefit of operating at constant switching frequency with low torque ripple. The proposed controller consist of 6 triangular waveform generators, 6 comparators and a proportional-integral (PI) controller. Figure 5 shows the configuration of the proposed torque controller.

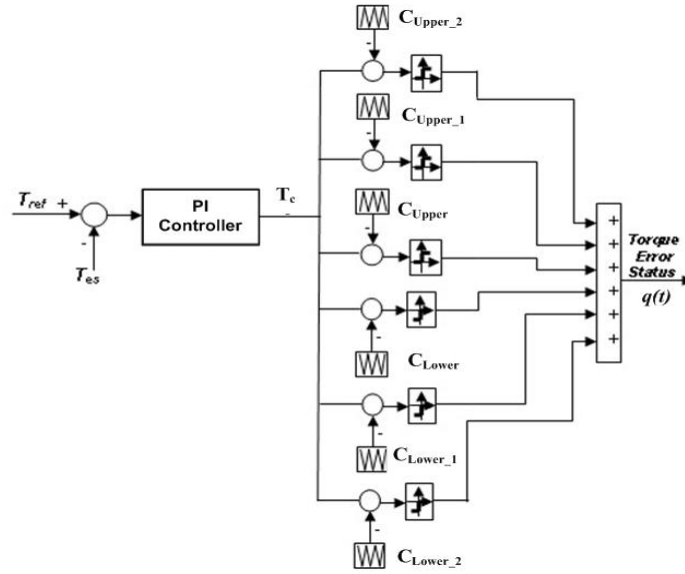


Figure 5. Proposed torque controller

The six triangular waveform generators generate 3 pairs of triangular waveforms (carrier signals) with the same magnitude but with different DC offset. Each pair ( $C_{Upper}$  and  $C_{Lower}$ ) is  $180^\circ$  out of phase. In principle, the proposed controller will produce the same output as an 8-level hysteresis controller in [12], which can be either one of the following torque error status; 3, 2, 1, +0.5, -0.5, -1, -2, -3. The number of levels, however, must be realistic enough for implementation purposes. In other words, the higher the number of levels, the faster in terms of processor requirement is needed for implementation. By comparing the triangular waveforms with the PI controller output, a constant switching frequency can be achieved.

The instantaneous value of the torque controller output,  $q(t)$ , is given by (23). As for the average value of the carrier signal period,  $T_{tri}$ , designated by  $d(t)$  is given by (24).

$$q(t) = \begin{cases} 3, & \text{for } T_c \geq C_{Upper\_2} \\ 2, & \text{for } C_{Upper\_2} > T_c > C_{Upper\_1} \\ 1, & \text{for } C_{Upper\_1} > T_c > C_{Upper} \\ 0.5, & \text{for } C_{Upper} > T_c > 0 \\ -0.5, & \text{for } C_{Lower} < T_c < 0 \\ -1, & \text{for } C_{Lower\_1} < T_c < C_{Lower} \\ -2, & \text{for } C_{Lower\_2} < T_c < C_{Lower\_1} \\ -3, & \text{for } T_c \leq C_{Lower\_2} \end{cases} \quad (23)$$

$$d(t) = \frac{1}{T_{tri}} \int_t^{t+T_{tri}} q(t) dt \quad (24)$$

#### 4.1. PI Controller's Parameter Selection

The selection of parameters value of PI controller is important to ensure the proper operation of torque controller. Hence, a linear control system theory has been used to carry out the linearizing and averaging process for the torque loop. Figure 6 shows a system torque loop.

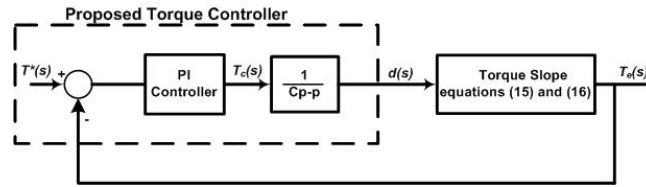


Figure 6. Torque loop

After the averaging process of (15) and (16), a simplified and averaged torque equation can be written as in (19). By introducing a small perturbation in  $T_e$ ,  $d$  and  $\omega_{slip}$  for linearization in (22) and transformed it to the frequency domain, the small-signal transfer function and steady state equation can be extracted as follows:

$$\tilde{T}_e(s) = \frac{B v_s^{\psi_s} \tilde{d}(s) + K \tilde{\omega}_{slip}(s)}{s + A} \quad (25)$$

$$0 = -A \tilde{T}_e + B v_s^{\psi_s} \tilde{d} + K \tilde{\omega}_{slip} \quad (26)$$

In (25), for simplicity,  $K \omega_{slip}(s)$  can be neglected since the contribution is relatively small. The complete linearized proposed torque loop is shown in Figure 7.

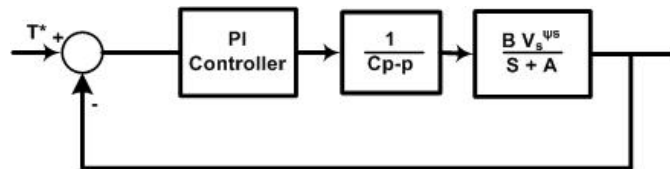


Figure 7. Linearized torque loop

Preferably, in order to obtain faster torque response, the torque loop bandwidth should be as large as it can. However, the selection of torque loop bandwidth and controller's parameters, i.e., proportional gain,  $K_p$ , and integral gain,  $K_i$ , are limited by several constraints such as hardware sampling time and carrier frequency.

The parameters of 1.5kW induction machines as listed in Table I are used to calculate the numerical values of torque loop transfer function using (19) - (20). In selecting the proportional gain of PI controller, it must be ensured that the absolute slope of control signal,  $T_c$ , is not exceed the absolute slope of the carrier signals.

Table 1. Induction Machine Parameters

Parameters	Values
Stator Resistance, $R_s$	3 $\Omega$
Rotor Resistance, $R_r$	3.793 $\Omega$
Stator self-inductance, $L_s$	0.3222 H
Rotor self-inductance, $L_r$	0.3308 H
Mutual inductance, $L_m$	0.3049 H
No. of pole, $p$	4
Stator flux reference, $\psi_s$	0.896 Wb
Voltage Vector Magnitude, $V_s^{\psi_s}$	120 V

For the positive slope, as in (17), the following condition must be satisfied:

$$\langle \text{Absolute slope of the carrier signal} \rangle \geq \left\{ -AT + BV_s^{\psi_s} + K \left( \frac{\omega_s}{d} - \omega_r \right) \right\} K_p^+ \quad (27)$$

Where the value of  $d$  in (27) is calculated from (26). For the negative slope, as in (18), the following condition must be satisfied:

$$\langle \text{Absolute slope of the carrier signal} \rangle \geq \{ |-AT - K \omega_r| \} K_p^- \quad (28)$$

As the above mentioned, the torque loop bandwidth is chosen depends on the maximum bandwidth limited by the DSP sampling time or the carrier signal frequency, depending on which is lower. It is desirable to have a high carrier frequency in order to have a large torque loop bandwidth. However, the carrier signals are generated by the DSP which restricted to its sampling time. In other words, torque loop bandwidth is restricted to the software sampling time as well. To maintain the linearity between  $T_c$  and  $d$ , the carrier signals are build with eight steps per cycle. Figure 8 shows a generated carrier signal under this condition with a  $75\mu\text{s}$  software sampling time. Based on the Figure 8, it shows that the carrier frequency is 1667 Hz and the absolute slope of carrier signal is  $333,333.333 \text{ s}^{-1}$ .

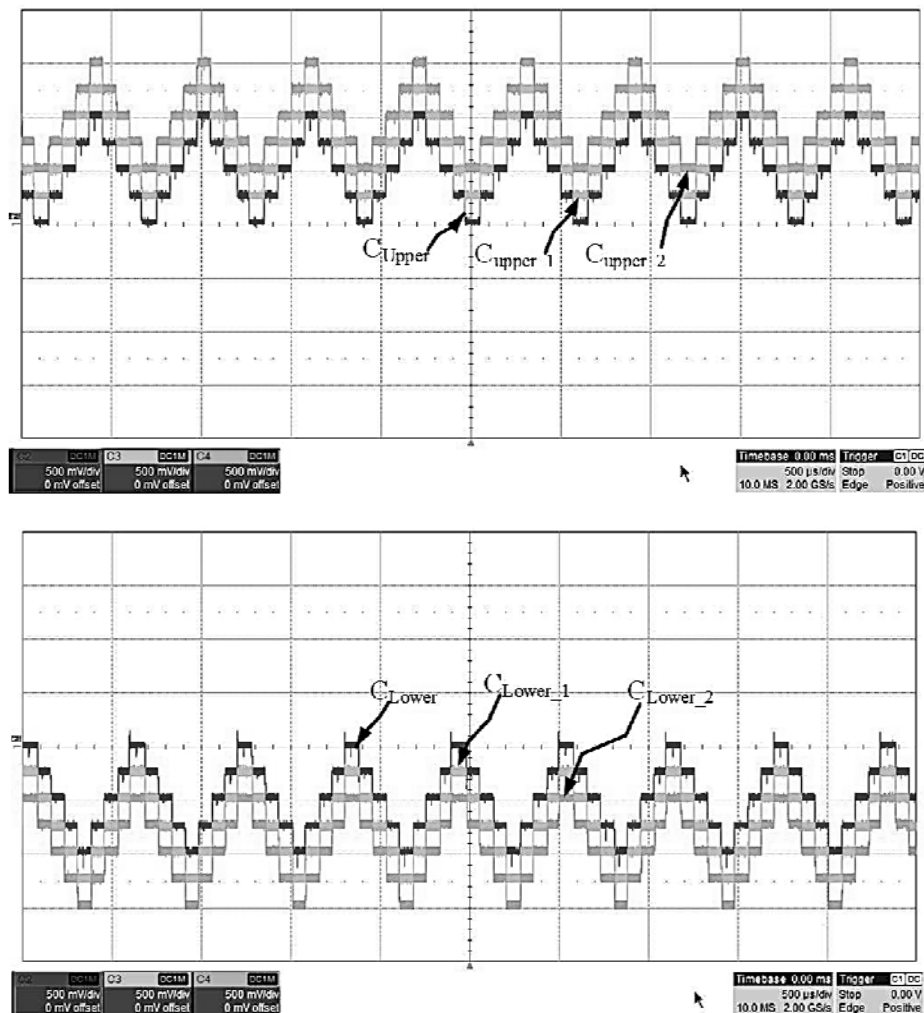


Figure 8. Generated carrier signal sampled at  $75\mu\text{s}$

The maximum proportional gain which limited by the maximum positive slopes is assumed to be occurred at zero rotor speed,  $\omega_r=0$ , and at rated slip,  $\omega_e = \omega_{\text{slip}} = 7.33 \text{ rad/s}$ . By substituting this value and the machines parameters value into (27), gives  $K_p^+ \leq 36.85$ . The maximum proportional gain which is limited by

the maximum absolute negative slopes is assumed to be occurred at maximum rotor speed. As in this work, the maximum rotor speed achievable without field weakening is approximately 41 rad/s. By substituting this value and the machines parameters value into (28), gives  $K_p \leq 90.56$ . In order to ensure the control signal,  $T_c$ , is not exceeding the absolute carrier signal slope,  $K_p$  is chosen as 39.5. It should be noted that, the gain value is just an initial value that serve as a guide to operate the proposed controller. A fine tuning of the controller should be done to achieve the excellent torque response. In this work, the corresponding PI controller's parameters are chosen as  $K_p = 37.85$  and  $K_i = 6169.55$ . The open-loop bode plot of this PI controller setting is shown in Figure 9. Based on Figure 9, the crossover frequency for this particular setting is about 3.05 kHz.

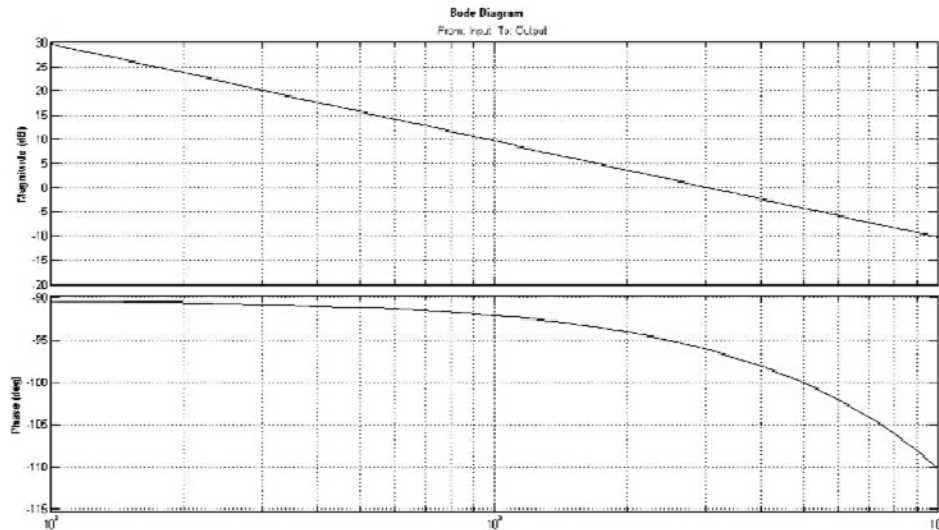


Figure 9. Bode plot of the torque loop with PI controller

## 5. SIMULATION AND EXPERIMENTAL RESULTS

The proposed system and a hysteresis-based system of DTC-MLI for induction machine have been simulated using MATLAB/Simulink. The parameters of PI controller that obtained in the previous section were used in the simulation as well as in the experiment. To show the feasibility of the proposed system, an experiment was also carried out. Figure 10 shows the block diagram of the experimental set-up. A dSPACE DS1104 controller card based on a TMS320F240 DSP, ALTERA DE2 FPGA board, IGBT-based 5-level CHMI, a 1.5kW squirrel-cage induction machine coupled to a DC machine was used to execute the experiment. The DS1104 was used to implement the hysteresis and the proposed controller also to estimate the torque and stator flux at a sampling period 75 $\mu$ s. The FPGA was used to implement the voltage vector selection table as well as to generate the blanking time for the IGBT.

The parameters of the induction machine as tabulated in Table I were used in both simulation and experiment.



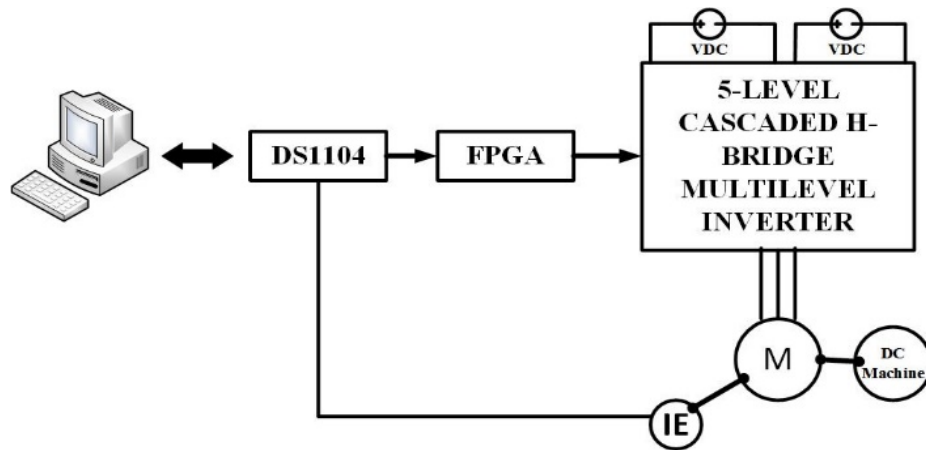
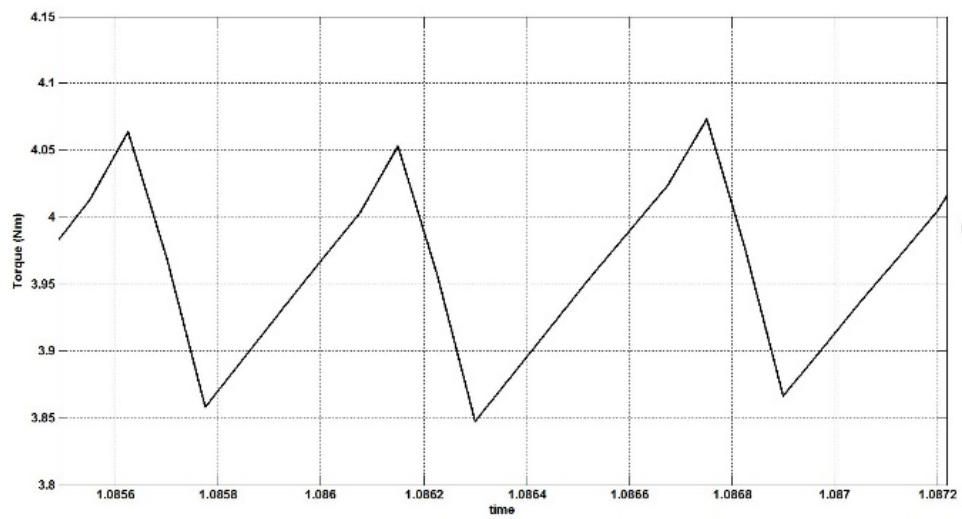
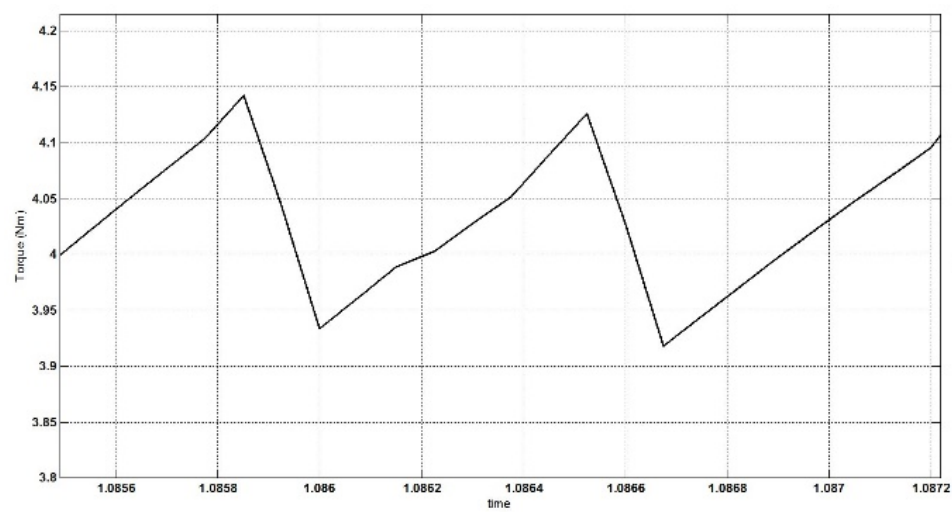


Figure 10. Experimental set-up block diagram



(a)



(b)

Figure 11. Simulation results of torque ripple. (a) DTC-MLI with hysteresis-based controller. (b) DTC-MLI with PI-CSF controller

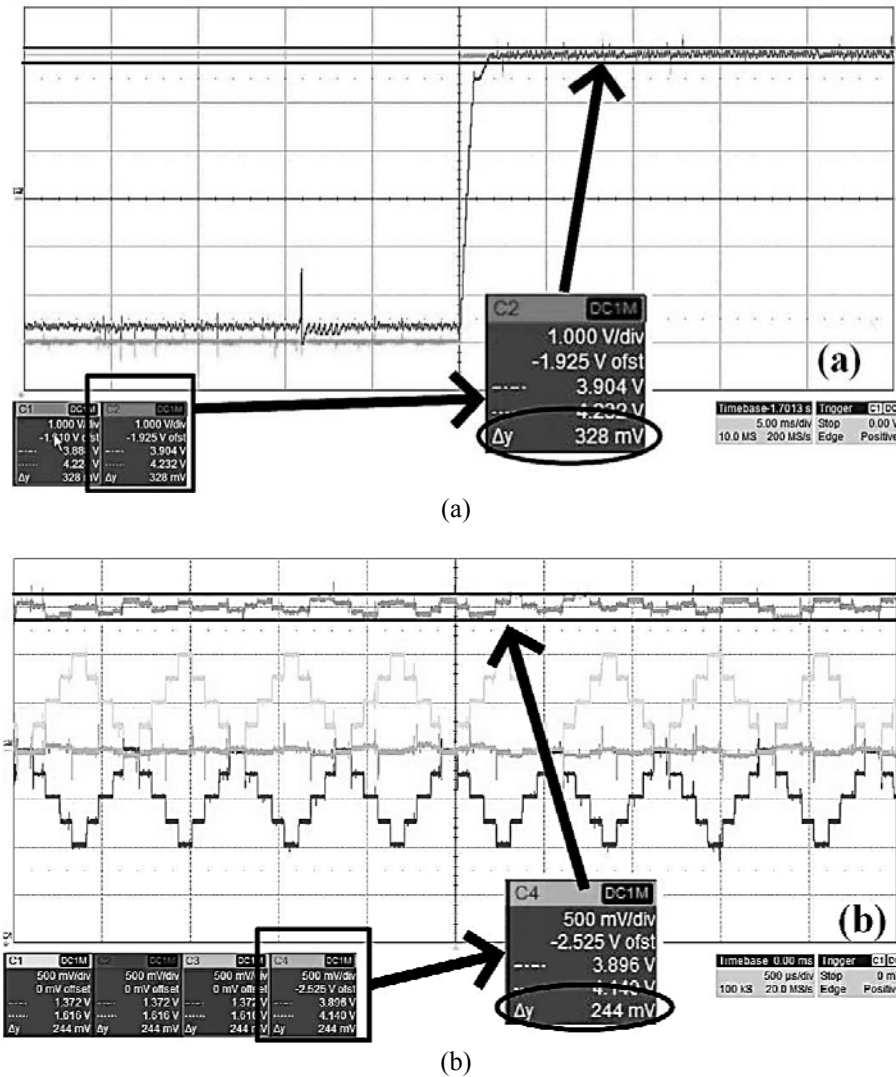
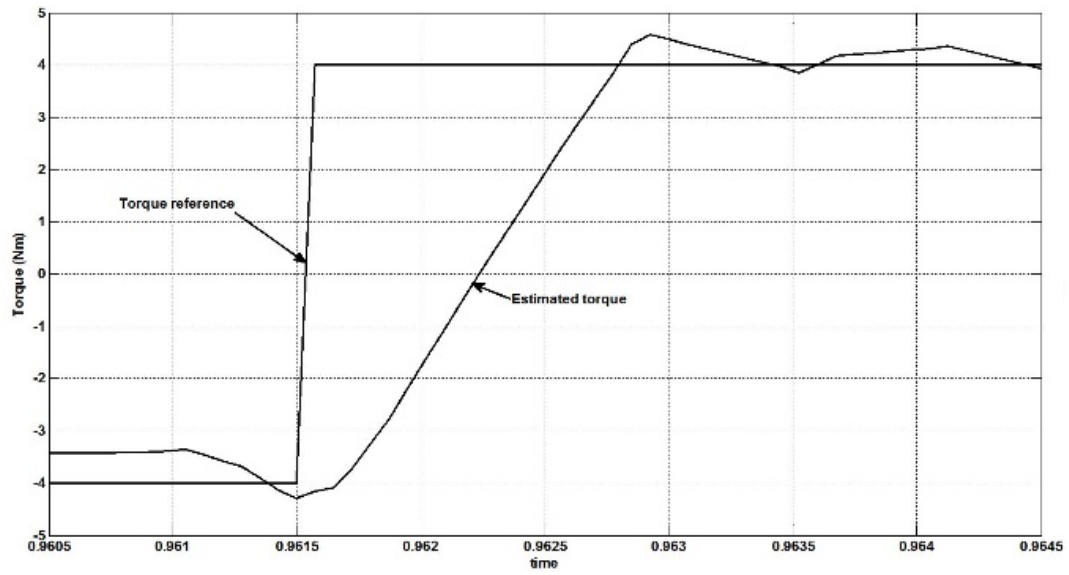


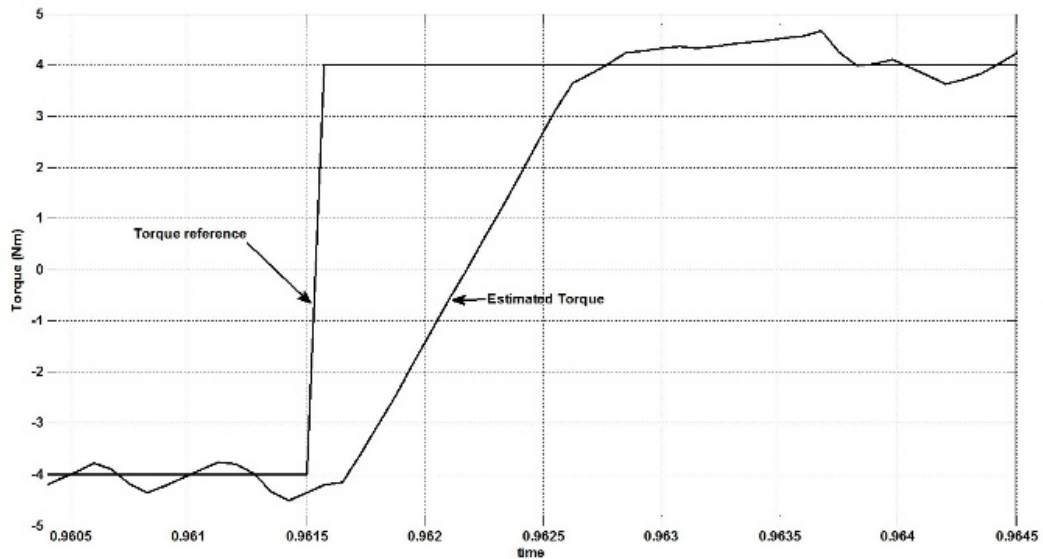
Figure 12. Experimental results of torque ripple. (a) DTC-MLI with hysteresis-based controller. (b) DTC-MLI with PI-CSF controller

Figure 11 and Figure 12 shows a simulation and experimental results of the torque ripple for DTC-MLI with hysteresis-based controller with  $\Delta T = 0.9 \text{ N.m}$  (or 10% of the rated torque) and DTC-MLI with PI-CSF controller. Based on the experimental results, it clearly indicates that the reduction of torque ripple as much as 26% in the DTC-MLI with PI-CSF controller compared to the DTC-MLI with hysteresis-based controller. Using the PI-CSF controller, the designated controller is properly monitored and corrected the level of errors to ensure the PI controller signal is within the appropriate carrier level hence suitable voltage vector is selected either to increase or decrease the torque and been applied consecutively within a carrier waveform period. However by using the hysteresis-based controller, the voltage vector is chosen based on the comparison of the raw error signal with the hysteresis band which the selected voltage vector for increasing or decreasing the torque is applied for the entire switching period.

Figure 13 and Figure 14 show simulation and experimental results of step response for DTC-MLI with Hysteresis-based controller and DTC-MLI with PI-CSF controller respectively. Based on the experimental results, DTC-MLI with PI-CSF controller shows 5% faster performance compared to the DTC-MLI with hysteresis-based controller. In DTC-MLI with PI-CSF controller, the designated controller first filtered and processed the torque error to ensure the large error will appropriately trigger the correct carrier signal. As a result, the voltage vector with the highest torque increment (or decrement) is selected for large errors hence produce faster torque response. In contrast, the raw torque error signal in the DTC-MLI with hysteresis-based controller is directly controlled by the hysteresis bands which produce a slower response.



(a)



(b)

Figure 13. Simulation results of torque response. (a) DTC-MLI with hysteresis-based controller.  
(b) DTC-MLI with PI-CSF controller

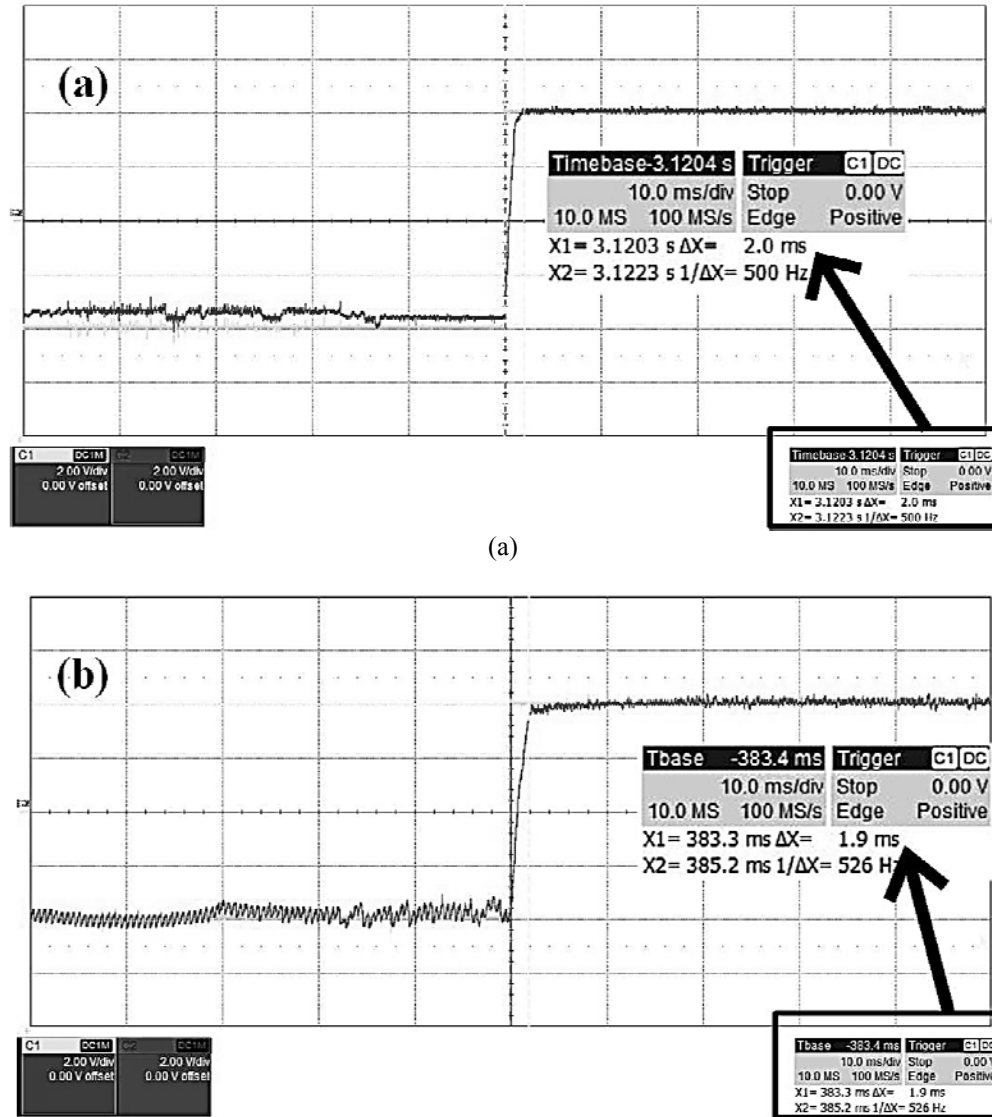


Figure 14. Experimental results of torque response. (a) DTC-MLI with hysteresis-based controller. (b) DTC-MLI with PI-CSF controller

The frequency spectrum of the phase voltage for both simulation and experimental results of the DTC-MLI with Hysteresis-based controller and DTC-MLI with PI-CSF controller are shown in Figure 15 and Figure 16 respectively. For the DTC-MLI with PI-CSF controller, the dominant harmonics is at the carrier frequency of about 1667 Hz. On the contrary, a widely distributed harmonics in the frequency spectrum with an unpredictable switching frequency is obtained for DTC-MLI with hysteresis-based controller. The unpredictable switching frequency is undesirable since the switching capability of the inverter is not fully utilized. In addition, it will create an unpredictable harmonics in current flow. Figure 17 shows a simulation results for multilevel inverter line-to-line voltage ( $V_{AB}$ ).

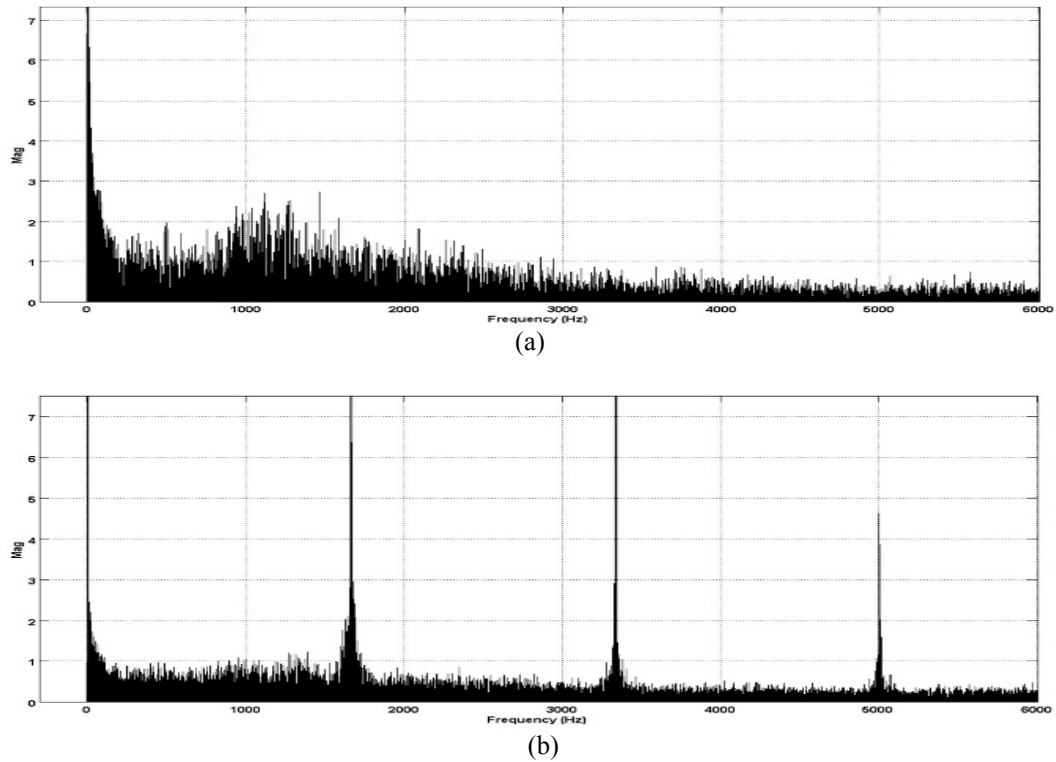


Figure 15. Simulation results of the frequency spectrum of phase voltage. (a) DTC-MLI with hysteresis-based controller. (b) DTC-MLI with PI-CSF controller

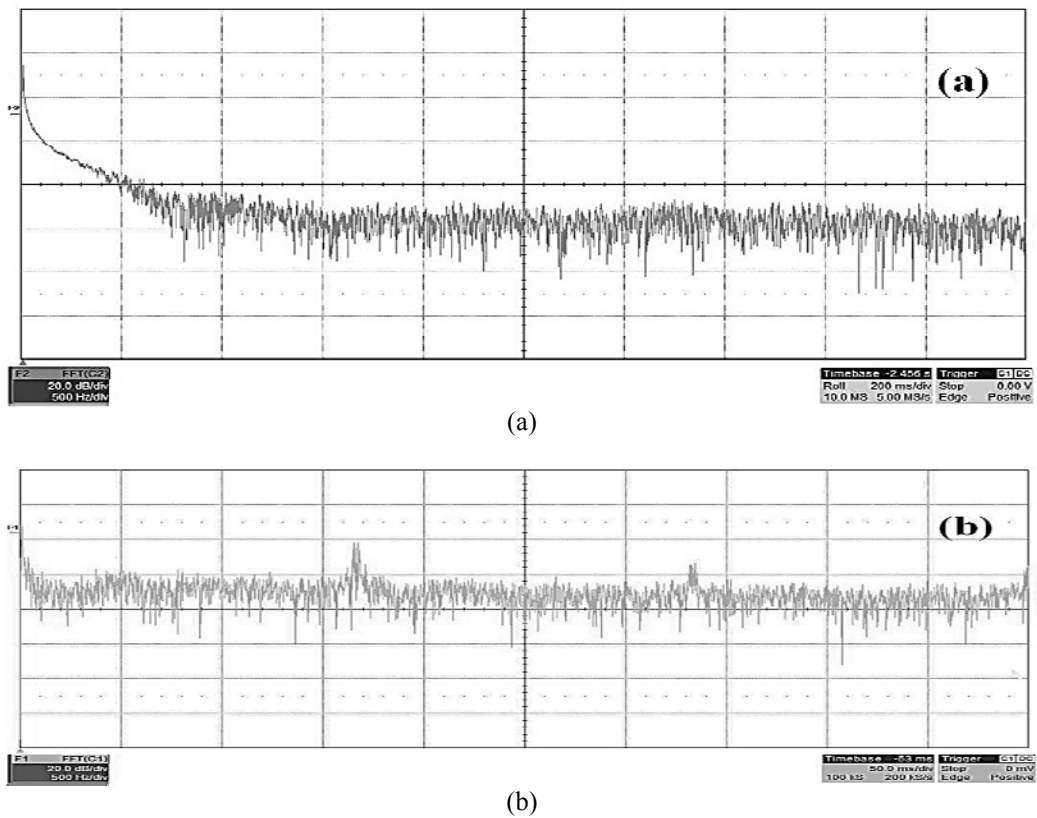


Figure 16. Experimental results of the frequency spectrum of phase voltage. (a) DTC-MLI with hysteresis-based controller. (b) DTC-MLI with PI-CSF controller

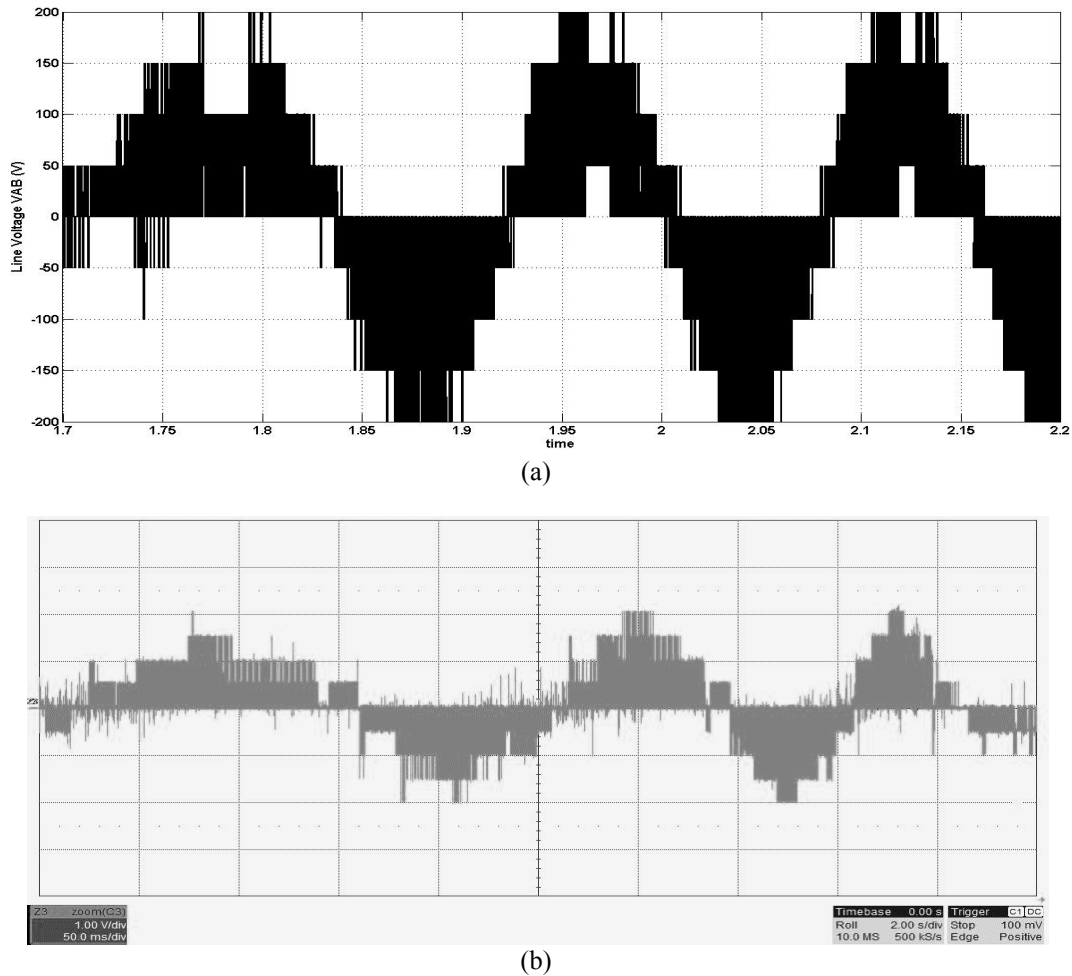


Figure 17. Line-to-line voltage ( $V_{AB}$ ) for 5-level cascaded H-bridge multilevel inverter. (a) Simulation. (b) Experiment ; scale : 1V/div = 100V

## 6. CONCLUSION

A PI-CSF torque controller to enhance the DTC-MLI system has been proposed. With the proposed controller, torque ripples are reduced and the switching frequency can be retained at a fixed value. The operational concept together with the derivation of the proposed controller linear model has been presented. The feasibility of the proposed controller is demonstrated by hardware implementation. A dSPACE DS1104 controller card based on a TMS320F240 DSP together with ALTERA DE2 FPGA board were used to execute the experiment. From the results, this simple scheme has significantly improved the performance of the DTC-MLI drive system yet maintained the simple structure of the DTC drive.

## ACKNOWLEDGMENT

The author would like to thanks the Ministry of Higher Education of Malaysia for the financial funding of this project with vote number R.J130000.7823.3F523 and R.J130000.7808.4F799, also the Research Management Center (RMC) of Universiti Teknologi Malaysia for their support on research management and funding with vote number Q.J130000.2525.05H87 and Q.J130000.2523.05H26.

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