Three Phase Two Leg Neutral Point Clamped Converter with output DC Voltage Regulation and Input Power Factor Correction

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ABSTRACT
A three-phase neutral point clamped (NPC) converter is presented for power factor correction and dc-link voltage regulation. The adopted converter has simpler circuit configuration compared to three level PWM converters. A simplified space vector pulse width modulation scheme (SVPWM) is adopted to track line current commands. Using a simplified SVPWM algorithm, the calculate time for the time duration of voltage vector is reduced. The adopted NPC converter has less power switches compared with the conventional three-level NPC converter. Only eight power switches and four clamping diodes with voltage stress of half the dc bus voltage are used in the circuit configuration. Based on the proposed control algorithm, a reference voltage vector is generated on the ac terminal for drawing the sinusoidal line currents with unity power factor. Computer simulation results based on MATLAB/SIMULINK are presented to verify the validity and effectiveness of the proposed control strategy.

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1. INTRODUCTION
Diode or phase-controlled rectifiers are widely utilized in the front-end converter for the uncontrollable or controllable DC-bus voltage in industrial and commercial applications. However, low power factor and non sinusoidal line currents are drawn from the AC source owing to a large electrolytic capacitor used on the DC link. Power pollutants such as reactive power and current harmonics result in line-voltage distortion, heating of the transformer core and electrical machines, and increased losses in the transmission and distribution line. To meet the relevant standards in Europe and America, several current wave-shaping solutions have been proposed to achieve power factor correction and current-harmonic reduction. Multilevel rectifiers and inverters have been proposed for high power and medium-voltage applications because they provide advantages such as the low voltage rating of power semiconductors and low voltage harmonics. However, the disadvantages of the multilevel rectifiers are the large number of power semiconductors in the circuit, a complex control scheme and the neutral-point voltage balance problem. In industrial applications with a unidirectional power flow, conventional multilevel converters are too expensive and complicated to implement. A three-phase three-level AC/DC converter with fewer power switches is presented to achieve almost unity power factor, to regulate the DC-link voltage and to achieve fast dynamic response. The circuit topologies of multilevel inverters can be classified into neutral point diode clamped (NPC) inverters [12–13], flying capacitor clamped inverters [14–15], and cascade full bridge inverters. Three-phase three-level NPC converters were proposed in [18–20] to draw the sinusoidal line currents in phase with mains voltages. The input power factor is close to unity. However, twelve power switches and six clamping diodes are used in the circuit configuration.
2. PROPOSED EIGHT SWITCH NPC CONVERTER

Fig. 1 shows the circuit configuration of the adopted three-phase NPC converter. Two NPC legs are used in the converter. Each NPC leg has four power semiconductor switches and two clamping diodes. Each power semiconductor has a voltage rating of half the dc bus voltage. Three boost inductors are connected to converter on the ac terminal. These inductors are used to achieve boost operation and to achieve line current filtering. The phase C is directly connected to the midpoint of the split dc capacitors. Three valid voltage levels are generated on the ac terminal voltages $V_{ac}$ and $V_{bc}$. Five voltage levels are generated on the voltage $V_{ab}$ based on the proper operation of two NPC legs. A dc bus voltage controller is used to balance the power demand between the ac source and dc load. A current controller is used to obtain the reference control voltage vector of the converter. The simplified space vector modulation is adopted to obtain the time duration of the selected voltage vectors. The time intervals of power switches corresponding to the selected voltage vectors are calculated to drive the converter. The control goals of the proposed converter are to obtain a constant dc-link voltage, to draw the sinusoidal line currents, and to achieve unity input power factor.

![Figure 1: Proposed three phase two leg converter](image)

A balanced three-phase three-line ac source is used in the adopted system. The sum of the instantaneous three-phase voltages and currents is zero

$$x_a + x_b + x_c = 0$$  \hspace{1cm} (1)

Where $x$ can be phase voltage or line current. The three-phase voltages and currents in the steady state are expressed as

$$v_{sa} = V_{sm} \cos(wt)$$
$$v_{sb} = V_{sm} \cos(wt - 2\pi/3)$$
$$v_{sc} = V_{sm} \cos(wt + 2\pi/3)$$

$$i_{sa} = I_{sm} \cos(wt)$$
$$i_{sb} = I_{sm} \cos(wt - 2\pi/3)$$
$$i_{sc} = I_{sm} \cos(wt + 2\pi/3)$$  \hspace{1cm} (2)

Where $V_{sm}$ and $I_{sm}$ are the peak source and peak current of three-phase ac source, respectively, $\phi$ is the phase angle between the source voltage and line current. From the voltage equations on the ac terminal of the converter shown in Fig. 1, we have
In the steady state, the reference control voltages in the stationary reference frame can be calculated from (2)–(4) for the given source voltages and the obtained line currents from the output of the control loop. The differential equation of (4) can be rewritten in the orthogonal coordinate and expressed as

\[
L \frac{di_a}{dt} = V_{sa} - ri_a - V_{aN} \\
L \frac{di_b}{dt} = V_{sb} - ri_{sb} - V_{bN} \\
L \frac{di_c}{dt} = V_{sc} - ri_{sc} - V_{cN}
\]

(4)

Where

\[
I_s = \begin{pmatrix} i_{sa} \\ i_{sb} \\ i_{sc} \end{pmatrix}, \quad V_s = \begin{pmatrix} V_{sa} \\ V_{sb} \\ V_{sc} \end{pmatrix}, \quad V = \begin{pmatrix} V_{a} \\ V_{b} \\ V_{c} \end{pmatrix} = \begin{pmatrix} V_{aN} \\ V_{bN} \\ V_{cN} \end{pmatrix}
\]

(6)

For a unity power factor operation, the phase angle \( \alpha \) between the line current and phase voltage is zero. Fig. 2 shows the phasor diagram of the converter for unity power factor operation. For the rectifier operation, the line current is in phase with phase voltage. There is a phase lag between the source voltage \( V_s \) and reference control voltage \( V \). The magnitude and phase angle of the reference control voltage \( V \) can be calculated from (6) and expressed as

\[
|V| = \sqrt{v_{\alpha}^2 + v_{\beta}^2}, \quad \theta = \tan^{-1} \frac{v_{\beta}}{v_{\alpha}}
\]

(7)

Figure 2: Phasor diagram of the converter for unity power factor operation

With the generated voltage vector in (7) on the ac terminal, the line currents are controlled to be sinusoidal waves with nearly unity power factor. However, the calculation time of trigonometric function and square root operation is too long. The high performance of digital controller is needed to obtain the reference voltage vector.

For the adopted three-phase NPC converter, eight power switches, and four clamping diodes are used.

The power switches \( S_{xy} \) and \( S_{xy}^1 \) (\( x = a,b; y = 1,2 \)) are complementary to each other to avoid the short circuit in each converter leg. Three valid switching states are available in each converter leg to achieve three voltage levels \( \pm \text{vdc}/2, 0, -\text{vdc}/2 \) on the ac terminal. For example of converter leg \( a \), the upper two power switches \( S_{a1} \) and \( S_{a2} \) are closed to achieve voltage \( V_{ab} = V_{ac} = \text{vdc}/2 \). In this operation state, line current \( i_{sa} \) is decreasing because \( v_{sac} = v_{sa} - v_{sc} < \text{vdc}/2 \). If the power switches \( S_{a1}^1 \) and \( S_{a2} \) are closed to turn on, the ac side voltage \( v_{sc} \) equals \( -\text{vdc}/2 \). For this operation state, line current \( i_{sa} \) is increasing because \( v_{sac} = v_{sa} - v_{sc} > -\text{vdc}/2 \). A zero voltage level is generated on the voltage vac if the middle two switches \( S_{a1} \) and \( S_{a2} \) are closed. For this operation condition, the phase current \( i_{sa} \) is increasing (or decreasing) if the line voltage \( v_{sac} \) is positive (or negative). Based on the above descriptions of three operation states in each converter leg, there are nine possible switching combinations in the adopted converter to control the line currents.
The voltages measured on the ac terminals depend on the states of power switches and can be expressed as

\[ v_{ao} = v_{ao} + v_{ao} = f_a \frac{v_{dc}}{2} + v_{ao} \] (8)

\[ v_{ab} = v_{ab} + v_{ab} = f_b \frac{v_{dc}}{2} + v_{ab} \] (9)

\[ v_{ao} = v_{ao} \] (10)

where \( v_{ao} \) is the voltage between the dc bus midpoint o and the point N on the ac source, and \( f_a \) and \( f_b \) are defined as

\[
\begin{cases}
  1, & \text{if } S_{a1} \text{ and } S_{a2} \text{ are closed} \\
  0, & \text{if } S_{a1} \text{ and } S_{a2} \text{ are closed} \\
  -1, & \text{if } S'_{a1} \text{ and } S'_{a2} \text{ are closed} \\
  1, & \text{if } S_{b1} \text{ and } S_{b2} \text{ are closed} \\
  0, & \text{if } S'_{b1} \text{ and } S'_{b2} \text{ are closed} \\
  -1, & \text{if } S_{b1} \text{ and } S_{b2} \text{ are closed}
\end{cases}
\] (11)

Applying (6) into (8)–(10), the ac terminal voltages in the orthogonal coordinate system are given by

\[
v_{\alpha} = \frac{1}{\sqrt{6}} \left( f_a - \frac{f_b}{2} \right) v_{dc}, \quad v_{\beta} = \frac{1}{2\sqrt{2}} f_b v_{dc}
\] (12)

Based on the combinations of the switching states of switches, there are nine valid voltage vectors. The switching combinations can be represented by the order sets \([S_{a1}, S_{a2}, S_{b1}, S_{b2}]\), where \( S_{a1} = 1 \) denotes that power switch \( S_{a1} \) is closed, and \( S_{a1} = 0 \) denotes that power switch \( S_{a1} \) is open. The same notation applied to power switches \( S_{a2}, S_{b1}, \) and \( S_{b2} \). The reference control voltages \( V_{\alpha} \) and \( V_{\beta} \) in the orthogonal coordinate can be obtained from the ac terminal voltages \( v_{ac} \) and \( v_{bc} \) in the abc coordinate system by applying (12). These voltage vectors are given by

<table>
<thead>
<tr>
<th>TABLE 1: THE OPERATION STATES AND CORRESPONDING SPACE VECTORS OF THE PROPOSED CONVERTER</th>
</tr>
</thead>
<tbody>
<tr>
<td>vector</td>
</tr>
<tr>
<td>--------</td>
</tr>
<tr>
<td>( V_0 )</td>
</tr>
<tr>
<td>( V_1 )</td>
</tr>
<tr>
<td>( V_2 )</td>
</tr>
<tr>
<td>( V_3 )</td>
</tr>
<tr>
<td>( V_4 )</td>
</tr>
<tr>
<td>( V_5 )</td>
</tr>
</tbody>
</table>
Based on the nine possible voltage vectors generated by the converter, there are eight sectors. The relationships between each sector and the reference voltage vector \( V^* \) can be expressed by two adjacent voltage vectors to compose the reference voltage vector. The other two voltage vectors are opposite in direction, but their vector length is \( V_{dc}/\sqrt{6} \). These nine voltage vectors divide the whole circle into four sectors (sectors 1, 2, 5, and 6) with 60° angle duration and four sectors (sectors 3, 4, 7, and 8) with 30° angle duration. Fig. 3 and Table I show the definition of these sectors.

### 2.1 Calculation of switching times for Reference Vector

The space vector modulation and the sequencing of the selected switching vectors have been proposed in [9]–[11]. Based on the nine possible voltage vectors generated by the converter, there are eight sectors in the \( \alpha \beta \) coordinate system. The reference control voltage vector \( V^* \) can be synthesized within one cycle time of length \( T \). The reference control voltage vector \( V^* \) can be expressed as

\[
V^* = m \frac{V_{dc}}{2\sqrt{2}} e^{j\theta} \tag{13}
\]

Where \( m \) is a modulation index. The PWM will achieve this commanded voltage. Based on the space vector technique, the reference control voltage vector \( V^* \) can be expressed by two adjacent voltage vectors

\[
V^* = V_x \frac{T_x}{T} + V_y \frac{T_y}{T} \tag{14}
\]

Where \( T_x \) and \( T_y \) are time duration of voltage vectors \( V_x \) and \( V_y \), respectively, in each sector. The time durations \( T_x \) and \( T_y \) have the following condition

\[
T_x + T_y + T_0 = T \tag{15}
\]

Where \( T_0 \) is the time duration of voltage vector \( V_0 \). The relationships between each sector and the corresponding two adjacent vectors to compose the reference voltage vector as given in Table 2. For example, three voltage vectors \( V_1, V_2, \) and \( V_0 \) are used to compose reference voltage vector \( V^* \) in the sector (0°–60°). The reference voltage vector \( V^* \) is synthesized by

\[
\begin{align*}
V_6 & = [0,0,0,0] & \frac{V_{dc}}{2\sqrt{6}} & \frac{V_{dc}}{2\sqrt{2}} & \frac{V_{dc}}{\sqrt{6}} \angle 240^0 \\
V_7 & = [0,1,0,0] & \frac{V_{dc}}{2\sqrt{6}} & \frac{V_{dc}}{2\sqrt{2}} & \frac{V_{dc}}{\sqrt{6}} \angle 300^0 \\
V_8 & = [1,1,0,0] & \frac{3V_{dc}}{2\sqrt{6}} & \frac{V_{dc}}{2\sqrt{2}} & \frac{V_{dc}}{\sqrt{6}} \angle 330^0
\end{align*}
\]
\[ V^* = V_1 \frac{T_1}{T} + V_2 \frac{T_2}{T} \]  

(16)

By projecting the control voltage vector and two adjacent vectors onto the real and imaginary parts in the \( \alpha-\beta \) coordinate system, one can obtain the following relations:

\[ V^*_a = \frac{V_{dc}}{\sqrt{6}} \frac{T_1}{T} + \frac{V_{dc}}{2\sqrt{6}} \frac{T_2}{T} \quad \quad V^*_\beta = \frac{V_{dc}}{2\sqrt{6}} \frac{T_2}{T} \]  

(17)

The time durations of the selected switching vectors \( V_1, V_2, \) and \( V_0 \) are easily calculated and expressed as

<p>| Table 2: Time Durations of the Switching Vectors in the Corresponding Sectors |
|---------------------------------|-----------------|-----------------|-----------------|-----------------|</p>
<table>
<thead>
<tr>
<th>S.No</th>
<th>Sectors</th>
<th>Time duration of adjustment voltage vectors</th>
<th>Time duration of power switches</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>( T_1 = \frac{\sqrt{6}}{V_{dc}} V_a^* T - \frac{\sqrt{2}}{V_{dc}} V_\beta^* T )</td>
<td>( T_1 + T_2 ) T T T</td>
</tr>
<tr>
<td>2</td>
<td>2</td>
<td>( T_2 = \frac{\sqrt{6}}{V_{dc}} V_a^* T + \frac{\sqrt{2}}{V_{dc}} V_\beta^* T )</td>
<td>( T_2 ) T ( T_2 + T_3 ) T</td>
</tr>
<tr>
<td>3</td>
<td>3</td>
<td>( T_3 = \frac{\sqrt{6}}{V_{dc}} V_a^* T + \frac{3\sqrt{2}}{V_{dc}} V_\beta^* T )</td>
<td>( T_4 + T_0 ) T_3 + T_4 T</td>
</tr>
<tr>
<td>4</td>
<td>4</td>
<td>( T_4 = \frac{2\sqrt{2}}{V_{dc}} V_\beta^* T )</td>
<td>( T_4 ) 0 0 T_4 T</td>
</tr>
<tr>
<td>5</td>
<td>5</td>
<td>( T_5 = -\frac{\sqrt{6}}{V_{dc}} V_a^* T + \frac{\sqrt{2}}{V_{dc}} V_\beta^* T )</td>
<td>( T_5 + T_0 ) 0 0 T_4</td>
</tr>
<tr>
<td>6</td>
<td>6</td>
<td>( T_6 = -\frac{\sqrt{6}}{V_{dc}} V_a^* T - \frac{\sqrt{2}}{V_{dc}} V_\beta^* T )</td>
<td>( T_6 + T_7 ) 0 T_0</td>
</tr>
<tr>
<td>7</td>
<td>7</td>
<td>( T_7 = -\frac{\sqrt{6}}{V_{dc}} V_a^* T + \frac{3\sqrt{2}}{V_{dc}} V_\beta^* T )</td>
<td>( T_8 ) T 0 T_0</td>
</tr>
<tr>
<td>8</td>
<td>8</td>
<td>( T_8 = \frac{2\sqrt{2}}{V_{dc}} V_\beta^* T )</td>
<td>( T_8 + T_0 ) 0 T_0</td>
</tr>
</tbody>
</table>

Sequencing of power switches within one switching period \( T \)

Table 2 gives the sequencing of the power switches in sectors 2–8, respectively. The reference voltage vector \( V^*_\beta \) can be composed to control the line currents with unity power factor. In the conventional space vector modulation, the magnitude and phase angle of the reference voltage vector must be given to determine the sector location and to calculate the time duration of adjacent vectors. This control algorithm is so complicated that it requires a long computation time. To reduce the computation time of trigonometric function and square root operation in (7), four lines are defined to divide the whole circle into eight sectors. These four lines are

\[ P_1 : y = 0, \quad P_2 : y = \sqrt{3}x \]

\[ P : y = -\sqrt{3}x, \quad P_4 : y = -x/\sqrt{3} \]  

(18)
Therefore, any point of reference voltage vector $V^*$ in the orthogonal coordinate system can determine its location through these four equations in (18). Table 3and Fig. 4 give the relationships between the sector where the reference voltage vector $V^*$ lies in and the values of variables $P_1–P_4$.

**TABLE 3: RELATIONSHIP BETWEEN SECTOR WHERE REFERENCE VECTOR LIES AND P1-P4**

<table>
<thead>
<tr>
<th>Sector</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
</tr>
</thead>
<tbody>
<tr>
<td>P1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>P2</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>P3</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>P4</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

P1, P1, P1, P1  (1,0,1,1)  (1,1,1,1)  (1,1,0,1)  (1,1,0,0)  (0,1,0,0)  (0,0,0,0)  (0,0,1,0)  (0,0,1,1)

Variables $P_1–P_4$ are defined as

\[
\begin{align*}
  v_\beta &= 0(p_1 = 1) \\
  v_\beta &= \sqrt{3}v_\alpha (p_2 = 1) \\
  v_\beta &= \sqrt{3}v_\alpha (p_3 = 1) \\
  v_\beta &= -\sqrt{3}v_\alpha (p_4 = 1) \\
  v_\beta &= -\sqrt{3}v_\alpha (p_4 = 0)
\end{align*}
\]

(19)

Now we can use variables $P_1–P_4$ to determine the sector where reference vector $V^*$ lies in and to calculate the time duration of power switches. No calculation of trigonometric function and square root operation is needed in the adopted algorithm.

2.2 Proposed Controller

**Control Block**

![Block diagram of the proposed control algorithm](image)

Figure 5: Block diagram of the proposed control algorithm
Fig. 5 gives the control block of the proposed control algorithm. A dc bus voltage controller is used to balance the power demand between the ac source and dc load. A current controller is used to obtain the reference control voltage vector of the converter. The simplified space vector modulation is adopted to obtain the time duration of the selected voltage vectors. The time intervals of power switches corresponding to the selected voltage vectors are calculated to drive the converter. The control goals of the proposed converter are to obtain a constant dc-link voltage, to draw the sinusoidal line currents, and to achieve unity input power factor.

The algorithm of the closed-loop compensators in the synchronous reference frame is used in the control scheme. A voltage controller is adopted to obtain the line current command $i_{eq}^*$.

For a unity power factor operation, the line current command $i_{sq}^*$ is set to zero. The reference voltage vector $V_e$ in the synchronous reference frame can be obtained by the transformation from $\alpha-\beta$ coordinate system to synchronous reference frame

$$
V_e = T \begin{pmatrix} V_{\alpha} \\ V_{\beta} \end{pmatrix} = T \begin{pmatrix} v_{\alpha} \\ v_{\beta} \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} v_{\alpha} \\ v_{\beta} \end{pmatrix}
$$

(21)

If the voltage drop on the resistor $r$ can be neglected, then the reference voltage on the ac terminal in the synchronous reference frame is expressed as

$$
v_d = v_{\alpha} - L \frac{di_{\alpha}}{dt} + wir_{\alpha}
$$

(22)

$$
v_q = v_{\beta} - L \frac{di_{\beta}}{dt} + wir_{\beta}
$$

(23)

Three-phase line currents are measured and transformed into the synchronous reference frame. Based on (22) and (23), the voltage command on the ac terminal in the synchronous reference frame are calculated. Using the coordinate transformation from the synchronous reference frame into the stationary reference frame, the voltage commands $V_{d}^*$ and $V_{q}^*$ are achieved. The sector where the reference voltage vector lies in can be obtained based on the values of P1–P4. Two adjacent vectors and the time duration of power switches in each sector can be calculated. The sequencing of power switches shown in Table 2 is exported to the gate drive circuits. The reference voltage vector $V^*$ can be composed by the properly two voltage vectors in each sector.

3. SIMULATION RESULTS

3.1 Simulation Parameters

Some simulation is presented to confirm the validity and effectiveness of the proposed control scheme. Simulation was performed in the SIMULINK toolbox of MATLAB. The power stage parameters of the three-phase converter are

- Dc link capacitance: 2200 µF
- Power switches: IGBT IRG4PC40W
- Boost inductor: 5 mH
- Switching frequency: 20 kHz
- Line voltage: 110 V
- Source frequency: 60 Hz
- Dc link voltage equals: 400 V
- Resistive Load R: 20 ohms
- R-L Load, R=15 ohms, L=5mH

3.2 Simulation Outputs

For R Load, simulated results of power factor with controller are shown in Fig 8 and without controller is shown in Fig. 8. Simulated results of AC terminal voltages for $V_o=400V$ are shown in Fig 9. Simulated results of capacitor voltages for $V_o=400$, $V_o=500$ and $V_o=600$ are shown in Fig 9. Simulated results of values P1 to P4 are shown in Fig 10. The required gate pulses for power switches are shown in Fig 11.

For R-L Load, simulated results of power factor with controller are shown in Fig 12. Simulated results of AC terminal voltages for $V_o=400V$ are shown in Fig 12. Simulated results of capacitor voltages for $V_o=400$ and DC Motor Load...
For R Load

Figure 6: Power Factor with controller & Power Factor without controller

Figure 7: AC Terminal Voltages & Simulated Capacitor Voltages for Vo= 400V
Figure 8: Simulated Capacitor Voltages for Vo=500V & Vo=600V

Figure 8: P1-P4 simulated results & Switching signals of power switches
For RL Load

Figure 9: Power Factor with controller & AC Terminal Voltages

Figure 9: Simulated Capacitor Voltages for Vo=500V

Figure 10: Simulated Speed and Torque outputs of Dc motor
4. CONCLUSIONS

A simple PWM scheme based on space vector modulation for an eight-switch three-phase NPC converter is presented to save the calculation time, to obtain the time duration of power switches, and to control the line currents with unity input power factor. The circuit configuration is simple compared with the conventional twelve-switch three-phase NPC converter. Only eight power switches and four clamping diodes are used in the converter.

In the proposed converter, the dc bus voltage is greater than two times of line voltage ($V_{dc}>2V_{line}$). The size of capacitor in the proposed converter is twice larger than that in the conventional twelve switches NPC converter to maintain the same voltage ripple. With the proposed control scheme, the sinusoidal line currents with unity power factor drawn from the ac source and constant dc-link voltage are achieved. Computer simulation results are presented to demonstrate the validity and effectiveness of the proposed control scheme.

REFERENCES


BIOGRAPHY OF AUTHORS

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