

Shunt Active Power Filter System Design for Inter-harmonic

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ABSTRACT

Given the complex situations of the grid with inter-harmonics, three-level model of shunt active power filter (SAPF) was built. For the harmonics accurate detection, the CPT principle was used to detect the fundamental component of the grid. The inter-harmonics, parameter fluctuation of APF and the deadband effect were considered as the non-periodic disturbance to the controller. To eliminate the non-periodic disturbance impact on the controller and to improve the control system performance, equivalent input-disturbance (EID) was used based on the traditional repetitive controller. With Matlab and three-level test platform, the SAPF control system was built. The performance of detection and compensation for harmonic and inter-harmonic was verified by the simulation and experiment.

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1. INTRODUCTION

Inter-harmonic means that harmonic components which frequency is not equal to the fundamental and multiple fundamental frequency [1]. With the application of HVDC equipment, electric arc furnaces, converters and other power electronic equipments, inter-harmonics were produced [2, 3]. Inter-harmonics have similar hazards with harmonics. More seriously, they also can cause voltage flicker and impact torque [4]. As frequencies of inter-harmonics have always been changed with different operating conditions of harmonic source equipments, inter-harmonics is difficult to be accurately detected and eliminated.

SAPF has been widely used to resolve harmonic pollution issues. For the design of SAPF, different grid environments and load requirements should be considered. SAPF should be able to run at different complex grid environments, and do not make interference to the grid. At present, the research focuses of inter-harmonic are on the detection method. The harmonic suppression method, is mainly used with passive filters. The way that using SAPF to eliminate inter-harmonic content was less studied. And existing harmonic detection and control methods of SAPF are not effective for inter-harmonics environment [5]. So, it is significant to do the research for the inter-harmonics and harmonics detection and compensation methods based on SAPF.

Currently, detection methods of harmonics and inter-harmonics can be divided into two categories: frequency-domain and time-domain. Harmonic current can be obtained by frequency-domain detection methods [6-9]. But these methods are characterized by large amount of calculation, and poor real-time performance. The existing time-domain detection methods are based on non-sinusoidal power theory, and they are applicable for SAPF. These methods are simple in structure, and they are widely used. There are two types of commonly used time-domain detection methods which based on the power theory, the instantaneous power theory and the Fryze power theory. The harmonic current can not be accurately detected by method

with traditional instantaneous reactive power theory (p-q theory) when three-phase voltage is unbalance and waveform is distorted[10]. Harmonic and reactive current detection problems can be solved by universal instantaneous power theory and its improved algorithm when the three-phase voltage is unbalance and waveform is distorted [11, 12]. FBD method was proposed by Fryze, and improved by Buchholz and Dpenbrock. By separating the current with concept of equivalent conductance, the physical meaning of the current component was discussed. And compared to the traditional instantaneous power definition, without coordinate transformation, FBD method was characterized by a relatively simple algorithm [13, 14]. The instantaneous power was defined by CPT (conservative power theory) in the three phase unbalance and distortion system [15]. Compared to the p-q power theory and FBD power theory, the unbalanced and distortion current is more accurately expressed by CPT theory [16, 17]. But it needs further study for the grid with inter-harmonics situation.

The periodic reference signal or the periodic interference signal will be controlled by repetitive control method. And when it combined with PI control, or predictive control, the PWM converter can get better control performance for current. But repetitive control performance for non-periodic signal, or non-periodic interference signal is poor. Therefore, it is important to improve the control system for better non-periodic control performance. There are two ways to improve the performance, HOCR (high-order repetitive controller) [18] and adaptive repetitive controller [19]. Though the control performance can be improved by these methods, the complexity of the system will be increased, and it will be difficult to achieve system stability. If we consider the non-periodic signals as interference, the disturbance observer can be used to improve the non-periodic control performance of repetitive controller. EID (equivalent input disturbance) observer [20] is different with the usual disturbance observer, which is not based on the inverse system theory, but based on an active disturbance rejection method. This method is simple to implement and can be used for repeatedly controller to improve the non-periodic disturbance rejection performance.

In this paper, the model of three-level SAPF was built. SAPF directive harmonic current detection method under the inter-harmonic environment based on the definition of CPT power theory was studied. Both the repetitive control and PI control was used for the grid current harmonics and inter-harmonics compensation. By introducing EID controller improves the SAPF control performance for non-periodic signal interference. Analysis of the designed system stability and sensitivity of the issue. Gives a suitable inter-harmonics environment SAPF system design methodology. Simulation and experiment show that the designed system can accurately detect harmonic, inter-harmonic content, effective on the grid to compensate harmonics and inter-harmonics.

2. MATHEMATIC MODEL OF THREE-LEVEL SAPF ON VIRTUAL FLUX ORIENTED

The main circuit topology of SAPF is a diode-clamped three-level inverter. Three kinds of switch state can be obtained by four switches of each phase leg from three-level converter, which is shown in Figure 1 (a). According to the concept of the flux in motor speed control, by spatial coordinate transformation, vector diagram of the virtual-flux oriented system can be drawn as shown in Figure 1 (b).

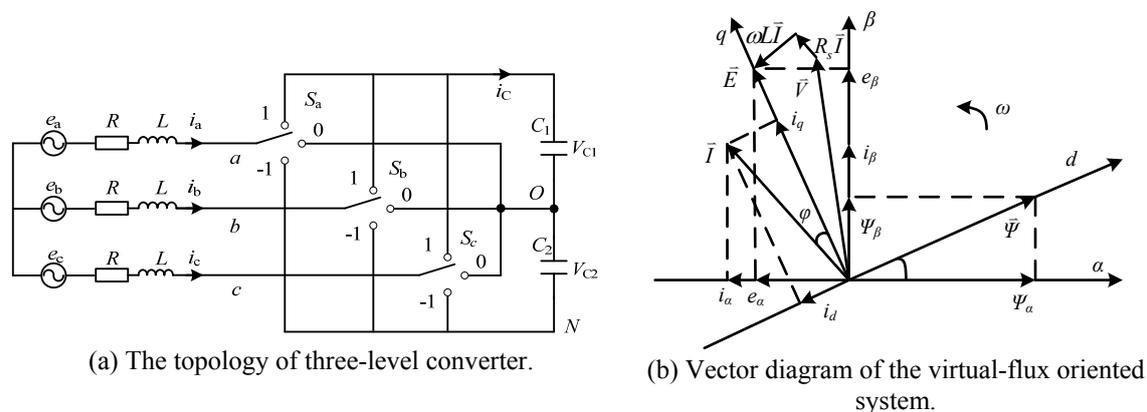


Figure 1. Three-level SAPF on virtual flux oriented.

According to Kirchhoff law and the structure shown in Figure 1, state-space expression of SAPF in dq reference frame is given directly by:

$$Z\dot{X} = AX + Be$$

Where, $Z = \text{diag}[L \ L \ C_1 \ C_2]$, $X = [i_d \ i_q \ V_{C1} \ V_{C2}]^T$, $B = \text{diag}[1 \ 1 \ 0 \ 0]$,

$$e = [0 \ \sqrt{\frac{3}{2}}V_m \ 0 \ 0]^T$$

$$A = \begin{bmatrix} -R & \omega L & -S_{d1} & S_{d2} \\ -\omega L & -R & -S_{q1} & S_{q2} \\ S_{d1} & S_{q1} & 0 & 0 \\ -S_{d2} & -S_{q2} & 0 & 0 \end{bmatrix}$$

3. THE INTER-HARMONIC DETECTION METHOD BASED ON CPT THEORY

3.1. The CPT framework

CPT theory, which has been put forward in recent years, is power definition method under the nonlinear time-domain condition. For a continuous variable $x(t)$, over period T , the original function and derivative function can be defined as follows:

$$x'(t) = \int_0^t x(\tau) d\tau; \quad x''(t) = \frac{d}{dt} x(t)$$

The DC component is defined as:

$$\bar{x}' = \frac{1}{T} \int_0^T x'(t) dt$$

Considering $\omega = 2\pi/T$, homo-variable of x can be defined as:

$$\hat{x} = \omega(x' - \bar{x}'); \quad \check{x} = \frac{1}{\omega} x''$$

Note that \hat{x} and \check{x} are dimensionally homogeneous to x , and they are equal in the amplitude of the resultant signals, so we called x , \hat{x} and \check{x} the homo-variables. According to the definition of CPT theory, for three-phase system,

active power P is defined as:

$$P = \langle \mathbf{u}, \mathbf{i} \rangle = \frac{1}{T} \int_0^T \mathbf{u}(t) \cdot \mathbf{i}(t) dt$$

Reactive power Q is defined as:

$$Q = \langle \hat{\mathbf{u}}, \mathbf{i} \rangle = \frac{1}{T} \int_0^T \hat{\mathbf{u}}(t) \cdot \mathbf{i}(t) dt$$

Active current i_a is defined as:

$$i_a = \frac{P}{\|\mathbf{u}\|^2} \mathbf{u} = G_a \cdot \mathbf{u} \quad (1)$$

Reactive current i_r is defined as:

$$i_r = \frac{Q}{\|\hat{\mathbf{u}}\|^2} \hat{\mathbf{u}} = B_r \cdot \hat{\mathbf{u}} \quad (2)$$

Void current i_v is defined as:

$$i_v = \mathbf{i} - i_a - i_r$$

3.2. The inter-harmonic detection method based on CPT theory

In order to use the CPT theory to detect SAPF three-phase harmonic current, assuming three-phase sinusoidal and balance system, with the initial phase of supply voltage which equals to 0, per unit voltage can be expressed as:

$$\begin{cases} e_a = \sin(\omega t) \\ e_b = \sin(\omega t - \frac{2\pi}{3}) \\ e_c = \sin(\omega t + \frac{2\pi}{3}) \end{cases}$$

Where, e_a , e_b and e_c are three phase per unit supply voltage, and ω is the angular frequency. According to CPT definition, homo-variable of e_a can be expressed as:

$$\begin{cases} \hat{e}_a = -\cos(\omega t) \\ \check{e}_a = \cos(\omega t) \end{cases}$$

The three-phase load current is given by:

$$\begin{cases} i_a = \sum_{h=1}^{\infty} [I_h^+ \sin(h\omega t - \theta_h^+) + I_h^- \sin(h\omega t - \theta_h^-) + I_h^0 \sin(h\omega t - \theta_h^0)] \\ i_b = \sum_{h=1}^{\infty} [I_h^+ \sin(h\omega t - \frac{2\pi}{3} - \theta_h^+) + I_h^- \sin(h\omega t + \frac{2\pi}{3} - \theta_h^-) + I_h^0 \sin(h\omega t - \theta_h^0)] \\ i_c = \sum_{h=1}^{\infty} [I_h^+ \sin(h\omega t + \frac{2\pi}{3} - \theta_h^+) + I_h^- \sin(h\omega t - \frac{2\pi}{3} - \theta_h^-) + I_h^0 \sin(h\omega t - \theta_h^0)] \end{cases}$$

Where, i_a , i_b and i_c are the three-phase load current. I is the amplitude of the harmonic current. θ is the initial phase angle of the harmonic current. Subscript h is the harmonic number. When $h = 1$, it indicates the fundamental component; when h is integer, it means multiple fundamental frequency components; when h is non-integer, it indicates the harmonic components. Superscript $+$, $-$ and 0 represent positive, negative and zero sequence component.

According to CPT definition, taking into the voltage and current expressions, it can be calculated as:

$$P = \frac{3}{2} \{ I_1^+ \cos \theta_1^+ + I_1^- \cos(2\omega t - \theta_1^-) + \sum_{h=2}^{\infty} [I_h^+ \cos((h-1)\omega t - \theta_h^+) + I_h^- \cos((h+1)\omega t - \theta_h^-)] \} \quad (3)$$

If AC component of equation (1) was filtered off, linear active power can be obtained as:

$$\bar{P} = \frac{3}{2} I_1^+ \cos \theta_1^+ \quad (4)$$

Note that equation (4) corresponds with the fundamental current active component.

According to CPT theory, homo-variables of three-phase supply voltage can be defined as:

$$\begin{cases} \hat{e}_a = -\cos(\omega t) \\ \hat{e}_b = -\cos(\omega t - \frac{2\pi}{3}) \\ \hat{e}_c = -\cos(\omega t + \frac{2\pi}{3}) \end{cases}$$

According to the reactive power definition of CPT theory, instantaneous reactive power Q can be obtained as:

$$Q = \frac{3}{2} \{ -I_1^+ \sin \theta_1^+ + I_1^- \sin(2\omega t - \theta_1^-) + \sum_{h=2}^{\infty} [I_h^+ \sin((h-1)\omega t - \theta_h^+) + I_h^- \sin((h+1)\omega t - \theta_h^-)] \} \quad (5)$$

If AC component of equation (3) was filtered off, linear reactive power can be obtained as:

$$\bar{Q} = -\frac{3}{2} I_1^+ \sin \theta_1^+ \quad (6)$$

Taking the equation (4) and equation (6) into equation (1) and equation (2), the fundamental positive sequence component can be got by the addition of the two final equations. Besides the fundamental positive sequence component, the rest components is the SAPF harmonic detection signal. Based on CPT power definition, SAPF harmonic detection system is shown in Figure 2.

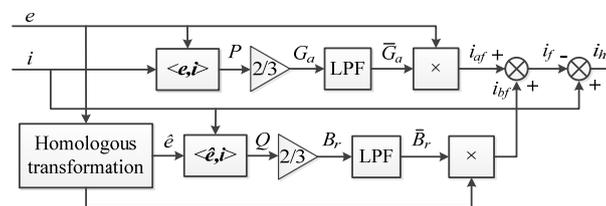


Figure. 2 Harmonic detection system based on CPT.

Considering ip-iq detecting method based on instantaneous reactive power theory as a comparison, the grid inter-harmonic detection effect of the system, which was shown in Figure 2, can be verified by simulation. The fundamental frequency of testing wave was 50Hz. The harmonic components which frequencies were 80Hz and 250Hz, were added at Time=0.08s. The simulation results were shown in Figure 3.

The waveform i_a and the fundamental detection waveforms which detected by ip-iq method and CPT method, were shown in the Figure 3 (a). Note that, before adding the harmonic component, the fundamental detection waveform got by CPT method can coincide with i_a . But for the waveform got by ip-iq method, there is a certain phase offset, which is caused by the coordinate transformation of the instantaneous power theory. Subtracted the fundamental detection waveform and i_a , the harmonic component can be obtained, which was shown in Figure 3 (b). It can be shown as that, since there is phase offset phenomenon, the amplitude of harmonic component detected by ip-iq method was finally amplified.

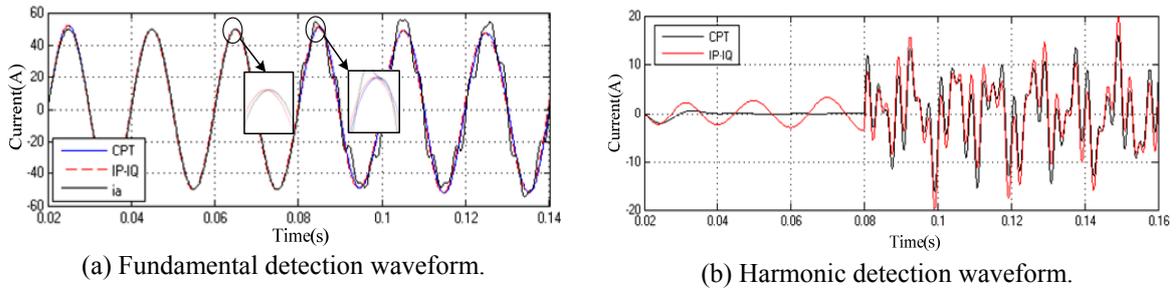


Figure. 3 Comparison of current waveforms.

4. COMPENSATION SYSTEM BASED ON EID AND REPETITIVE CONTROLLER

4.1. Design of control system

According to the three-level SAPF model, based on the EID and repetitive control method, SAPF current compensation control system was designed, as shown in Figure 4 (a).

The SAPF compensation signal, which is the harmonic current components besides the fundamental current component, mainly contains multiple fundamental frequency components. When the content of the grid inter-harmonics are obvious, it means the compensation signal is mixed with non-integer multiple fundamental frequency signal. These non-periodic signals can not be controlled by repetitive controller.

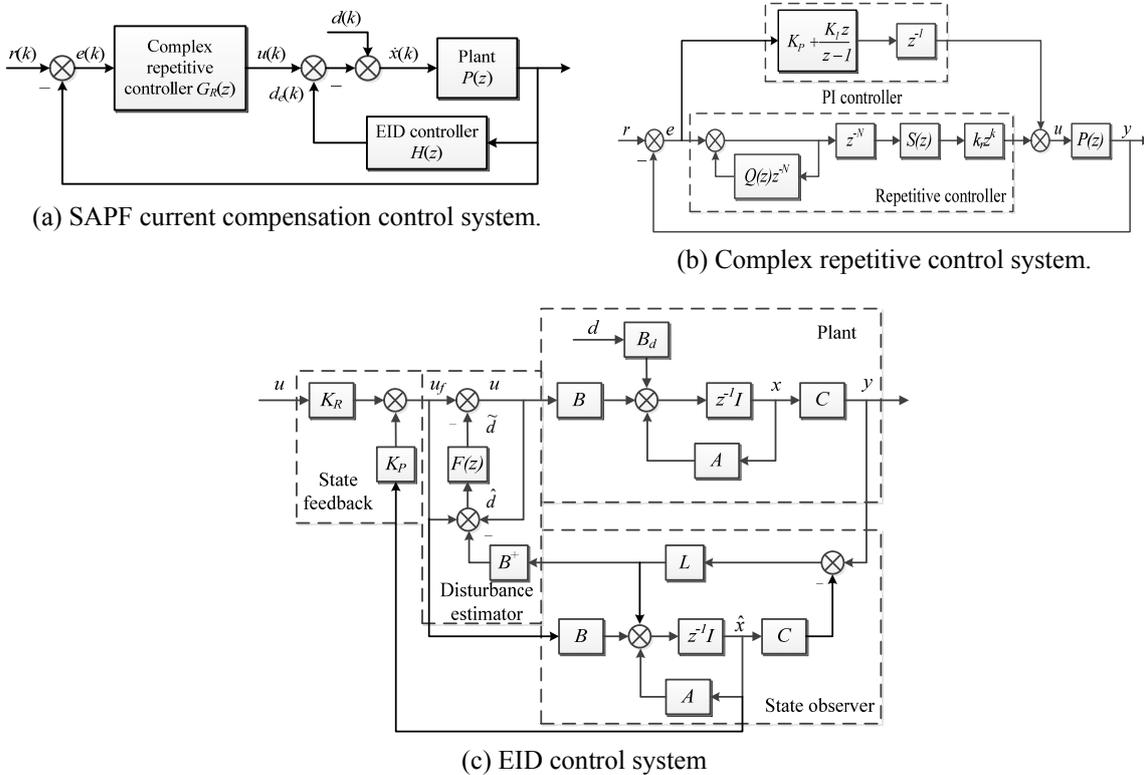


Figure 4. The current compensation system of SAPF.

Complex repetitive control system consists of improved repetitive controller and PI controller, which are connected in parallel. The periodic signal can be controlled by repetitive controller, so the SAPF compensation accuracy will be improved. And non-periodic signal can be controlled by PI controller, which can also adjust the delay time by repetitive controller, so the dynamic performance of SAPF will be improved. The control system is shown in Figure 4 (b).

With the aging of APF devices, devices parameters will be volatile. Furthermore, with dead band plus effect, the load fluctuation and other factors, the periodic and non-periodic disturbances will appear in the actual operation of SAPF. For the repetitive controller, the periodic interference can be eliminated. But the effect of non-periodic disturbance control will deteriorate. This paper introduces the equivalent input disturbance method for non-periodic signal interference suppression, so the robustness of SAPF current compensation controller and the SAPF compensation effect can be improved.

4.2. Stability analysis of control system

System stability design is the most basic requirement for control system. Only based on the stable control system, the further system design, considering the rest of the system performance requirements, could be continued.

As it is shown in Figure 4 (a), the control system can be seen as two subsystems which are the complex repetitive PI control system and the EID control system in series. The stability of the control system, could be considered as two subsystems both are stable. As control parameters of the composite repetitive control system and the EID control system are without overlap, the two subsystems can be designed individually. For the composite repetitive control system, which is shown in Figure 4 (b), considering the transfer function of the PI controller $G_{PI}(z)$, the characteristic equation is given by:

$$\Delta = [1 + G_{PI}(z)P(z)] \left[z^N - Q(z) + \frac{k_r z^k S(z)P(z)}{1 + G_{PI}(z)P(z)} \right]$$

There are two parts in the characteristic equation of complex repetitive control system. It is easy to see that the characteristic equation is $1+G_{PI}(z)P(z)$, when the system is controlled by PI controller individually. The latter part is the characteristic equation when the repetitive controller add to the system. Therefore, the stability of complex repetitive control system required, based on the stable separate PI control system, the complex control system is also stable after the addition of repetitive controller.

In order to analyze the stability of the control system, by setting input signal and interference signal of the system shown in Figure 4 (a) to zero, taking the input and output of low-pass filter $F(z)$ in the interference estimator as the system input and output, the EID control system shown in Figure 4 (c) is equivalent to the system shown in Figure 5. The component in the dotted- line $G(z)$ is given by:

$$\begin{aligned} G(z) &= 1 - B^+LC[zI - (A - LC)]^{-1}B \\ &= B^+(sI - A)[zI - (A - LC)]^{-1}B \end{aligned}$$

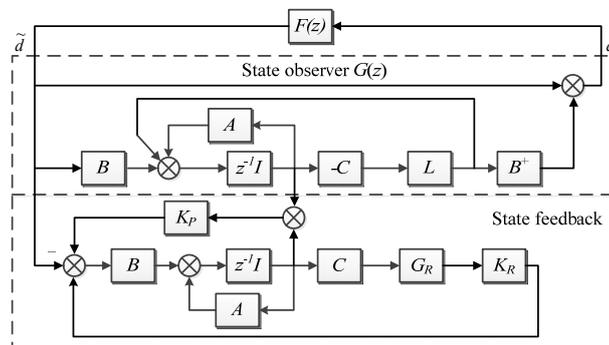


Figure 5. The equivalent control system of EID.

As it is shown in Figure 5, EID system is divided into three parts, the state observer, the low-pass filter and the state feedback section. As state feedback section will not affect other system stability, it can be designed as independent part according to the LMI or optimal control method. According to the small gain

theory, under the premise that state observer and low-pass filter are stable, it is need to meet that the H_∞ norm of system transfer function is less than 1 for the entire system stability, which means:

$$\|F(z)G(z)\|_\infty < 1 \quad (7)$$

4.3. Sensitivity analysis of control system

Sensitivity is an important indicator of system performance. For the control system shown in Figure 4 (a), sensitivity is defined as the transfer function from the external disturbance d to the output y of plant. It can also be defined as the function from the external input signal r to the error signal e . Therefore, the sensitivity reflects the tracking performance of the input signal and the disturbance suppression performance. The sensitivity function S of the control system shown in Figure 4 (a), is given by:

$$S = \frac{E(z)P(z)}{R(z)} = \frac{Y(z)}{D(z)} = \frac{P(z)}{1 + [H(z) + G_R(z)]P(z)}$$

In addition to consider the mathematical model and performance indicators, the design of control system should also be subject to certain constraints. Sensitivity function is the important performance indicator of the control system. But it can not be any value. It should to be bound by the Bode integral theorem. According to Bode integral theorem, the sensitivity integral is a constant. If the control system is stable, the integral will be zero, which means:

$$\int_0^\infty \ln|S(j\omega)|d\omega = 0 \quad (8)$$

When $S < 1$, the logarithmic sensitivity is negative; when $S > 1$, the logarithmic sensitivity is positive. Therefore, according to equation (8), it is required that the sensitivity integral will be zero, the area when the logarithmic sensitivity is positive equals to the area of negative logarithmic sensitivity. Although the smaller sensitivity can be got, it will be better performance of the control system. According to Bode integral theorem, if the sensitivity is reduced in a certain frequency band, it will be rose in the other bands. Sensitivity will be reduced in the periodic signal band by repetitive control, but it will be increased in non-periodic bands, resulting in performance deterioration of non-periodic signal control.

For repetitive control system, sensitivity function S_r is given by:

$$S_r = \frac{Y(z)}{D(z)} = \frac{P(z)}{1 + G_{RE}(z)P(z)} \quad (9)$$

Where, $G_{RE} = \frac{k_r z^k S(z)}{z^N - Q(z)}$

For repetitive and PI control system, sensitivity function S_p is given by:

$$S_p = \frac{P(z)}{1 + [G_{RE}(z) + G_{PI}(z)]P(z)} \quad (10)$$

Where, $G_{PI}(z) = K_p z^{-1} + \frac{K_I}{z-1}$

For the control system shown in Figure 4 (c), sensitivity function S_e is given by:

$$S_e = \frac{P(z)}{1 + [G_{RE}(z) + G_{PI}(z) + H(z)]P(z)} \quad (11)$$

Where, $H(z) = B^+ L \frac{F(z)}{1-F(z)} [G_R(z)G_1(z) + \frac{1}{1+G_2(z)}]$

$$G_R(z) = G_{RE}(z) + G_{PI}(z), G_1(z) = C[zI - (A - LC)]^{-1} B, G_2(z) = C[zI - A]^{-1} L$$

From equation (9) to equation (11), it can be seen that, after the addition of PI controller and EID controller, by adjusting the transfer function value of the PI and EID controller, the system sensitivity function value can be decreased.

According to equations from equation (9) to equation (11), it is shown in Figure 6 that sensitivity function comparison among the repetitive control system, the repetitive and PI control system, and the control system adding with EID controller. It can be seen that the sensitivity function value of repetitive controller is low at the fundamental frequency 50Hz and its multiple frequencies. While at the non-periodic position between the fundamental multiple frequencies, sensitivity function value is at a high point.

Therefore, the repetitive controller has a good tracking or inhibition performance for the fundamental and multiple frequencies signal. And for inter-harmonics and non-periodic disturbance to SAPF, the control performance is deteriorated.

From Figure 6 it can be seen, adding with PI and EID controller, the sensitivity function value is decreased overall low frequencies band. It means that system control performance gets better for the fundamental and multiple frequencies signal and the non-periodic interference signal, in accord with the control law embodied in equation (7). Meanwhile, according to the Bode integral theorem and as it has shown in Figure 6, introduction of EID controller make a control performance deterioration during some high frequencies. The main function of SAPF is to compensate the grid characteristic harmonic content with low frequencies. Although the introduction of EID controller makes the deterioration of system control performance for some high-frequency signal, but it will not impact practical application performance of SAPF.

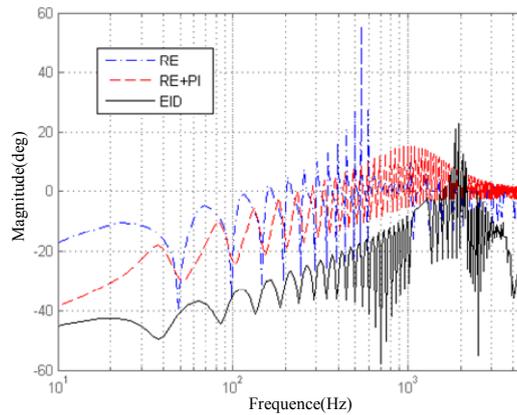


Figure.6 The sensitivity comparison of control system.

5. SIMULATION AND EXPERIMENTAL VERIFICATION

In order to verify the designed SAPF control performance, based on the Matlab software and three-level experiment platform, simulation and experimental model of SAPF control system was established, which was shown in Figure 7.

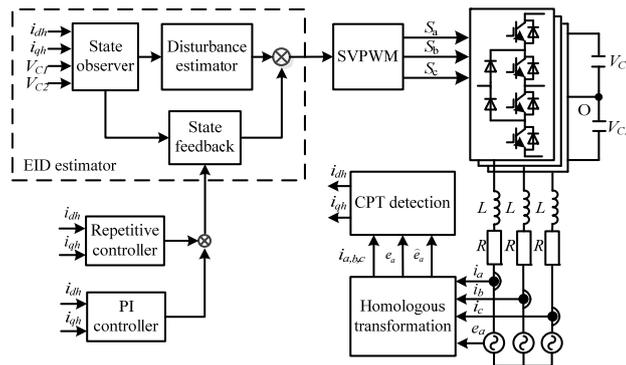


Figure.7 Three-level SAPF control system structure.

Three-phase grid current, voltage and their homologous variables were detected by three-level SAPF. Without coordinate transformation, harmonic current detected by the CPT method was taken into the controller. After the interference suppression by EID controller, the switch control signal was got by the three-level SVPWM modulation, which was used to make the three-level SAPF generate compensation current, which can offset harmonic component of grid current. Harmonic source in Figure 7 was three-phase uncontrolled rectifier with resistive load.

Simulation system built by Matlab was shown in Figure 7. Grid current waveform before the compensation was got in Figure 8 (a). After the compensation by SAPF, the grid current waveform was got in Figure 8 (b). Make the simulation for the two systems with and without the EID controller. Subtracted the compensation current from the detected harmonic current command to obtain the error current signal as it was shown in Figure 8 (c). As it can be seen, the error between compensation current and reference current has been smaller by addition of EID controller. It indicates that by adding EID controller, the better tracking performance can be got for the control system.

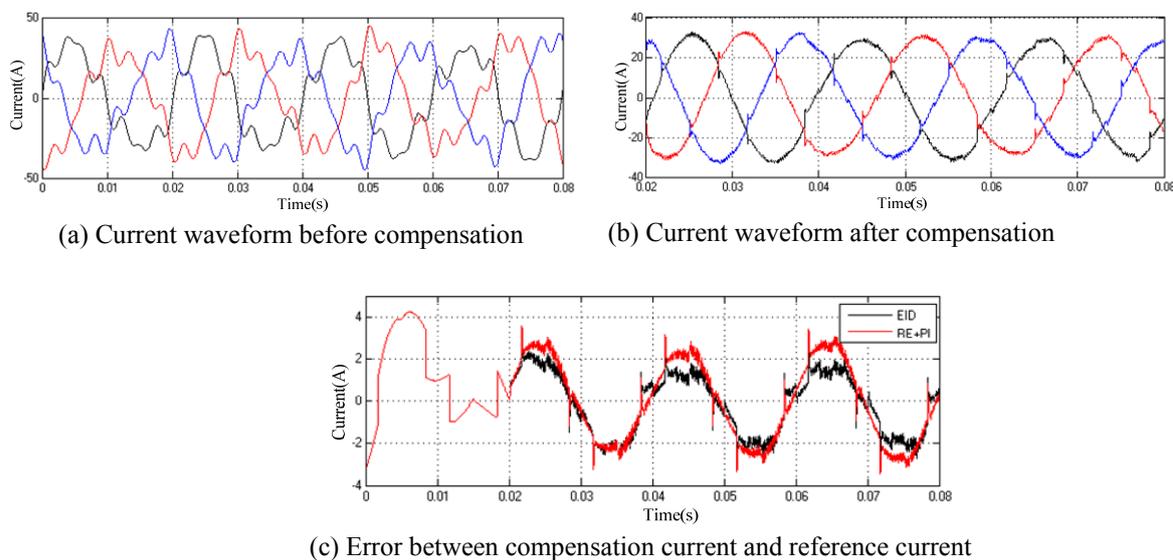


Figure 8. Comparison of SAPF harmonic compensation.

6. CONCLUSION

In this paper, the model of three-level SAPF was built. SAPF directive harmonic current detection method under the inter-harmonic environment based on the definition of CPT power theory was studied. Both the repetitive control and PI control was used for the grid current harmonics and inter-harmonics compensation. By introducing EID controller improves the SAPF control performance for non-periodic signal interference. Analysis of the designed system stability and sensitivity of the issue. Gives a suitable inter-harmonics environment SAPF system design methodology. Simulation and experiment show that the designed system can accurately detect harmonic, inter-harmonic content, effective on the grid to compensate harmonics and inter-harmonics.

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